



FINANCIAL SERVICES COMMISSION

23 February 2024

Financial Sanction Notice

Directions from Financial Services Commission

Please be informed that 1 entry has been corrected under the Democratic Republic of the Congo financial sanctions regime.

On 23 February 2024, the Foreign, Commonwealth and Development Office updated the UK Sanctions List on Gov.Uk. This list provides details of those details designated under regulations made under the Sanctions Act.

1 entry has been corrected under the Democratic Republic of the Congo financial sanctions regime and remains subject to an asset freeze.

The consolidated list of asset freeze targets has been updated to reflect these changes.

The notice will also be placed on our website at www.fscmontserrat.org (<http://www.fscmontserrat.org>) under the heading "Sanctions" for your future reference.

Please be guided accordingly.



FINANCIAL SERVICES COMMISSION

23 February 2024

Financial Sanction Notice

Democratic Republic of the Congo

Introduction

1. The Democratic Republic of the Congo (Sanctions) (EU Exit) Regulations 2019 (“the Regulations”) were made under the Sanctions and Anti-Money Laundering Act 2018 (“the Sanctions Act”) and provide for the imposition of financial sanctions, namely the freezing of funds and economic resources of persons involved in the commission of a serious human rights violation or abuse, or violation of international humanitarian law, in the Democratic Republic of the Congo; obstructing or undermining respect for democracy, the rule of law and good governance in the Democratic Republic of the Congo; or the production, manufacture or use in the Democratic Republic of the Congo of improvised explosive devices, or in the commission, planning, ordering, aiding, abetting or otherwise assistance of attacks in the Democratic Republic of the Congo with improvised explosive devices.
2. On 23 February 2024 the Foreign, Commonwealth and Development Office updated the UK Sanctions List on GOV.UK. This list provides details of those

designated under regulations made under the Sanctions Act. A link to the UK Sanctions List can be found below.

3. Following the publication of the UK Sanctions List, information on the Consolidated List has been updated.
4. 1 entry has been corrected on the Consolidated List, bringing the entry in line with the UK Sanctions List.

Notice summary

5. The following entry has been corrected and is still subject to an asset freeze:
 - Willy NGOMA (Group ID: 16382)

What you must do

6. You must:
 - i. check whether you maintain any accounts or hold any funds or economic resources for the persons set out in the Annex to this Notice and any entities owned or controlled by them;
 - ii. freeze such accounts, and other funds or economic resources;
 - iii. refrain from dealing with the funds or economic resources or making them available directly or indirectly to or for the benefit of designated persons unless licensed by the Governor or if an exception applies;

- iv. report any findings to the Governor, together with the information or other matter on which the knowledge or suspicion is based. Where the information relates to funds or economic resources, the nature and quantity should also be reported.
- 7. Information received by the Governor may be disclosed to third parties in accordance with provisions set out in the Information and Records part of the regulations and in compliance with applicable data protection laws.
- 8. Information regarding a suspected designated person, and funds or economic resources belonging to them, does not need to be disclosed to the Governor where it has previously been reported.
- 9. Failure to comply with UK financial sanctions legislation or to seek to circumvent its provisions may be a criminal offence.

Further Information

- 10. Copies of recent notices, UK legislation and relevant guidance can be obtained from the Democratic Republic of the Congo financial sanctions page on the GOV.UK website:
<https://www.gov.uk/government/collections/financial-sanctions-regime-specific-consolidated-lists-and-releases>.
- 11. Further details on the UN measures in respect of the Democratic Republic of the Congo can be found on the relevant UN Sanctions Committee webpage:
<https://www.un.org/securitycouncil/sanctions/information>.
- 12. The UN Consolidated List can be found here:
<https://www.un.org/securitycouncil/content/list-updates-unsc-consolidated->

[list.](#)

13.The Consolidated List can be found here:

[https://www.gov.uk/government/publications/financial-sanctions-consolidated-list-of-targets/consolidated-list-of-targets.](https://www.gov.uk/government/publications/financial-sanctions-consolidated-list-of-targets/consolidated-list-of-targets)

14.The UK Sanctions List can be found here:

[https://www.gov.uk/government/publications/the-uk-sanctions-list.](https://www.gov.uk/government/publications/the-uk-sanctions-list)

15.The Compliance Reporting Form can be found here:

[https://www.gov.uk/guidance/suspected-breach-of-financial-sanctions-what-to-do.](https://www.gov.uk/guidance/suspected-breach-of-financial-sanctions-what-to-do)

16.For more information please see our financial sanctions guidance:

[https://www.gov.uk/government/publications/financial-sanctions-faqs.](https://www.gov.uk/government/publications/financial-sanctions-faqs)

Enquiries

17.Non-media enquiries about the implementation of financial sanctions in the UK should be addressed to:

**Her Excellency, the Governor
The Governor's Office
#8 Farara Plaza
Brades, MSR 1110
E-Mail: lisa.walkden@fcdo.gov.uk**

ANNEX TO NOTICE

FINANCIAL SANCTIONS: DEMOCRATIC REPUBLIC OF THE CONGO

THE DEMOCRATIC REPUBLIC OF THE CONGO (SANCTIONS) (EU EXIT) REGULATIONS 2019

CORRECTION

Deleted information appears in strikethrough. Additional information appears in italics and is underlined.

Individual

1. NGOMA, Willy

DOB: --/--/1974. ***POB:*** *Camp Kokolo, Kinshasa, Democratic Republic of the Congo* **Nationality:** Democratic Republic of the Congo **Address:** Democratic Republic of the Congo. **Position:** (1) Major (2) Military spokesperson for M23 **Other Information:** (UK Sanctions List Ref):DRC0070. (UN Ref):CDi.044. Listed pursuant to paragraphs 7 (c), 7 (e) and 7 (h) of resolution 2293 (2016) as “being political and military leaders of Congolese militias, including those receiving support from outside the DRC, who impede the participation of their combatants in disarmament, demobilization and reintegration processes;” “planning, directing, committing acts in the DRC that constitute human rights violations or abuses or violations of international humanitarian law, as applicable, including those acts involving the targeting of civilians, including killing and maiming, rape and other sexual violence, abduction, forced displacement, and attacks on schools and hospitals;” and “acting on behalf of or at the direction of an entity owned or controlled by a designated individual or entity”, as reaffirmed by paragraph 2 of resolution 2688 (2023). As a leader of M23 (CDe.006), WILLY NGOMA is involved in planning, promulgating and supporting the armed group’s activities. **Listed on:** 21/02/2024 **UK Sanctions List Date Designated:** 20/02/2024 **Last Updated:** ~~21/02/2024~~ 23/02/2024 **Group ID:** 16382.

Financial Services Commission

23/02/2024