



FINANCIAL SERVICES COMMISSION

20 September 2018

Financial Sanctions Notice

Ukraine (Sovereignty and Territorial Integrity)

Introduction

1. Council Regulation (EU) 269/2014 ("the Regulation") imposing financial sanctions against those undermining or threatening the sovereignty and territorial integrity of Ukraine has been amended.

Notice summary (Full details are provided in the Annex to this Notice)

2. The entries detailed in the Annex to the Notice have been amended and are still subject to an asset freeze.

What you must do

3. You must:
 - i. check whether you maintain any accounts or hold any funds or economic resources for the persons set out in the Annex to this Notice;
 - ii. freeze such accounts, and other funds or economic resources;
 - iii. refrain from dealing with the funds or assets or making them available (directly or indirectly) to such persons unless licensed by the Governor;
 - iv. report any findings to the Governor, together with any additional information that would facilitate compliance with the Regulation;
 - v. provide any information concerning the frozen assets of designated persons that the Governor may request. Information reported to the Governor may be passed on to other regulatory authorities or law enforcement.

4. Where a relevant institution has already reported details of accounts, other funds or economic resources held frozen for designated persons, they are not required to report these details again.
5. Failure to comply with financial sanctions legislation or to seek to circumvent its provisions is a criminal offence.

Legislative details

6. On 14 September 2018 Council Implementing Regulation (EU) No 2018/1230 (“the Amending Regulation”) was published in the Official Journal of the European Union (O.J. L 231, 14.9.2018, p.1) by the Council of the European Union.
7. The Amending Regulation amended Annex I to the Regulation with effect from 15 September 2018.

Further Information

8. A copy of the Amending Regulation can be obtained from the website of the Official Journal of the European Union:

<https://eur-lex.europa.eu/legal-content/EN/TXT/PDF/?uri=CELEX:32018R1230&from=EN>

9. Copies of recent Notices, certain EU Regulations and UK legislation can be obtained from the Ukraine (Sovereignty and Territorial Integrity) financial sanctions page on the GOV.UK website:

<https://www.gov.uk/government/collections/financial-sanctions-regime-specific-consolidated-lists-and-releases>

10. Please note there are also import and export restrictions on Ukraine (Sovereignty and Territorial Integrity) Further guidance on export and trade sanctions is available from the GOV.UK website: <https://www.gov.uk/sanctions-embargoes-and-restrictions>.

11. For more information please see our guide to financial sanctions:

<https://www.gov.uk/government/publications/financial-sanctions-faqs>

Enquiries

12. Non-media enquiries, reports and licence applications should be addressed to:

His Excellency, the Governor
The Governor's Office
#8 Farara Plaza
Brades, MSR 1110
Montserrat
Email: Lyandra.Hobson@fco.gsi.gov.uk

ANNEX TO NOTICE

FINANCIAL SANCTIONS: UKRAINE (SOVEREIGNTY AND TERRITORIAL INTEGRITY) COUNCIL IMPLEMENTING REGULATION (EU) No 2018/1230 AMENDING ANNEX I TO COUNCIL REGULATION (EU) No 269/2014

AMENDMENTS

Deleted information appears in strikethrough. Additional information appears in italics and is underlined.

Individuals

- 1. BEREZOVSKIY Deniz-Denis Valentinovich**
DOB: 15/07/1974. **POB:** Kharkiv, Ukrainian SSR a.k.a: BEREZOVSKYY, Denys, Valentynovych **Position:** ~~Deputy Commander of the Black Sea Fleet of the Russian Federation.~~ *Studying at the military academy of the General Staff of Russian armed forces.* **Other Information:** *Former Deputy Commander of the Black Sea Fleet of the Russian Federation.* Former Commander of the Ukrainian Navy. **Listed on:** 18/03/2014 **Last Updated:** ~~11/04/2017~~ 20/09/2018 **Group ID:** 12925.
- 2. TOTOONOV Aleksandr Borisovich**
DOB: 03/04/1957. **POB:** Ordzhonikidze, North Ossetia **Position:** ~~Member of~~ *First Deputy Chair of the* Parliament of North Ossetia **Other Information:** Former Member of the Committee of International Affairs of the Federation Council of the Russian Federation **Listed on:** 18/03/2014 **Last Updated:** ~~15/03/2018~~ 20/09/2018 **Group ID:** 12917.
- 3. ZHELEZNYAK Sergei Vladimirovich**
DOB: 30/07/1970. **POB:** St Petersburg (formerly Leningrad), Russia **Position:** ~~Deputy Chairperson~~ *Member of* the Foreign Affairs Committee of the State Duma of the Russian Federation **Other Information:** *Former Deputy Chairperson of the Foreign Affairs Committee of the State Duma of the Russian Federation.* Former Deputy Speaker of the State Duma of the Russian Federation. **Listed on:** 18/03/2014 **Last Updated:** ~~11/04/2017~~ 20/09/2018 **Group ID:** 12920.
- 4. ROGOZIN Dmitry Olegovich**
DOB: 21/12/1963. **POB:** Moscow **Position:** ~~Deputy Prime Minister of the Russian Federation.~~ **Other Information:** *Former Deputy Prime Minister of the Russian Federation* **Listed on:** 21/03/2014 **Last Updated:** ~~21/03/2014~~ 18/09/2018 **Group ID:** 12935.
- 5. KULIKOV Valery Vladimirovich**
DOB: 01/09/1956. **POB:** Zaporozhye, Ukrainian SSR **Position:** *Member of the Federation Council of Russian Federation, representing the annexed City of Sevastopol.* **Other Information:** Former Deputy Commander of the Black Sea Fleet, Rear Admiral. On 26 September 2017, with a Decree of the President of Russian Federation, he was dismissed from this post and from military service **Listed on:** 21/03/2014 **Last Updated:** ~~15/03/2018~~ 18/09/2018 **Group ID:** 12940.

6. **TURCHENYUK Igor Nikolaevich**
Title: Lt. Gen **DOB:** 05/12/1959. **POB:** Osh, Kyrgyz SSR a.k.a:
TURCHENYUK, Igor, Mykolayovich **Position:** Head of Department of Public
Administration and National Security at the Military Academy of the Russian
General Staff.
~~Commander of Russian Troops in Crimea~~ **Other Information:** Former Commander
of Russian Troops in Crimea **Listed on:** 21/03/2014 **Last Updated:** 21/09/2015-
20/09/2018 **Group ID:** 12938.
7. **KAKIDZYANOV Igor Evgenevich**
DOB: 25/07/1980. **POB:** Makiivka (Donetsk oblast) a.k.a: (1) KHAKIMZIANOV,
Ihor, Yevhenovych (2) KHAKIMZYANOV, Igor, Evgenevich (3) KAKIDZIANOV,
Ihor, Yevhenovych **Other Information:** One of the former leaders of the armed
forces of the so-called Donetsk People's Republic. **Listed on:** 12/05/2014 **Last**
Updated: 11/04/2017-20/09/2018 **Group ID:** 12972.
8. **POKLONSKAYA Natalia Vladimirovna**
DOB: 18/03/1980. **POB:** (1) Mikhailovka, Voroshilovgrad region, Ukraine SSR (2)
Yevpatoria, Ukrainian SSR **Position:** ~~First Deputy Chairperson of the Committee for~~
Security and countering corruption of the State Duma of the Russian
Federation **Other Information:** Former Prosecutor of Crimea. Member of the State
Duma. **Listed on:** 12/05/2014 **Last Updated:** 11/04/2017 20/09/2018 **Group ID:**
12977.
9. **BESEDA Sergei Orestevich Orestovich**
Title: Colonel General **DOB:** 17/05/1954. **Position:** Commander of the Fifth
Service of the FSB, Federal Security Service of the Russian Federation **Other**
Information: As a senior FSB officer, he heads a service responsible for overseeing
intelligence operations and international activity. **Listed on:** 25/07/2014 **Last**
Updated: 17/09/2016-20/09/2018 **Group ID:** 13040.
10. **DEGTYAREV Mikhail Vladimirovich**
DOB: 10/07/1981. **POB:** Kuibyshev (Samara) a.k.a: DEGTYARYOV, Mikhail,
Vladimirovich **Position:** Chairman of the Russian State Duma Committee on
Physical Education, Sport and Youth Affairs **Other Information:** Member of the State
Duma **Listed on:** 25/07/2014 **Last Updated:** 11/04/2017-20/09/2018 **Group ID:**
13041.
11. **TKACHYOV Alexander Nikolayevich**
DOB: 23/12/1960. **POB:** Vyselki, Krasnodar region **Position:** ~~Minister of~~
Agriculture of the Russian Federation (since 22 April 2015) **Other Information:**
Former Minister of Agriculture of the Russian Federation. Former Governor of
the Krasnodar Krai. **Listed on:** 25/07/2014 **Last Updated:** 23/03/2016-
20/09/2018 **Group ID:** 13043.
12. **TCHIGRINA Oksana**
DOB: 23/07/1981. a.k.a: (1) CHIGRINA, Oksana, Aleksandrovna (2) CHYHRYNA,
Oksana, Aleksandrovna **Position:** ~~Spokesperson of the government of the Lugansk~~
~~People's Republic~~ **Other Information:** Former Spokesperson of the so-called
'government' of the so-called 'Lugansk People's Republic'. Date of birth is
approximate. **Listed on:** 31/07/2014 **Last Updated:** 11/04/2017-20/09/2018 **Group**
ID: 13069.
13. **RODKIN Andrei Nikolaevich**
DOB: 23/09/1976. **POB:** Moscow **Position:** ~~Moscow Representative of the Donetsk~~
~~People's Republic.~~ One of the leaders of the Union of Donbas volunteers **Other**
Information: Former Moscow Representative of the so-called 'Donetsk People's
Republic'. One of the former leaders of the 'Union of Donbas volunteers'. **Listed on:**
12/09/2014 **Last Updated:** 23/03/2016-20/09/2018 **Group ID:** 13097.

- 14. NAUMETS Aleksey Vasilevich**
Title: *Major-general of the Russian Army.* **DOB:** 11/02/1968. **Position:** Major-General *Deputy Chief of Staff of the Airborne Forces.* **Other Information:** *Former Commander of the Russian Army's 76th airborne division.* **Listed on:** 12/09/2014 **Last Updated:** ~~42/09/2014~~ 20/09/2018 **Group ID:** 13114.
- 15. KOZYAKOV Sergey Yurievich**
DOB: (1) 29/09/1982. (2) 23/09/1982. **a.k.a:** KOZYAKOV, Sergey *Serhiy, Yuriyovych* **Position:** Minister of Justice of the so-called Luhansk People's Republic (since October 2015) **Other Information:** *Former 'Minister of Justice' of the so-called 'Luhansk People's Republic'. Former head of the 'Luhansk Central Election Commission'. He was responsible for organising the so-called 'elections' of 2 November 2014 in the Lugansk People's Republic.* **Listed on:** 02/12/2014 **Last Updated:** 11/04/2017 20/09/2018 **Group ID:** 13170.
- 16. SHUBIN Alexandr Vasilievich**
DOB: (1) 20/05/1972. (2) 30/05/1972. **POB:** Luhansk **Position:** Chairman of the central election commission *of the so-called 'Luhansk People's Republic'.* **Other Information:** Former *so-called 'Minister of Justice' of the illegal so-called 'Luhansk People's Republic'.* **Listed on:** 16/02/2015 **Last Updated:** ~~23/03/2016~~ 20/09/2018 **Group ID:** 13204.
- 17. FILIPPOVA Ekaterina Vladimirovna**
DOB: 20/01/1988. **POB:** Krasnoarmeisk **a.k.a:** FLIPPOVA, Kateryna, Volodymyrivna **Other Information:** Former so-called Minister of Justice of the so-called 'Donetsk People's Republic' **Listed on:** 16/02/2015 **Last Updated:** ~~11/04/2017~~ 20/09/2018 **Group ID:** 13207.
- 18. ISMAILOV Zaur Raufovich**
DOB: (1) 23/03/1975. (2) 25/07/1978. **POB:** Krasny Luch, Voroshilovgrad Lugansk region **a.k.a:** ISMAYILOV, Zaur, Raufovich **Position:** *Acting so-called 'Minister of Justice' of the so-called 'Lugansk People's Republic'.* **Other Information:** Former *so-called 'General Prosecutor' of the so-called 'Lugansk People's Republic' (until October 2017)* **Listed on:** 16/02/2015 **Last Updated:** ~~15/03/2018~~ 20/09/2018 **Group ID:** 13212.
- 19. PETUKHOV Aleksandr Yurevich**
DOB: 17/07/1970. **a.k.a:** (1) PETUKHOV, Aleksandr, Yurievich (2) PIETUKHOV, Oleksandr, Yuriyovych **Position:** Chair of the Sevastopol Electoral Commission **Other Information:** *Former Chair of the Sevastopol Electoral Commission.* **Listed on:** 14/05/2018 **Last Updated:** ~~14/05/2018~~ 20/09/2018 **Group ID:** 13668.

Entities

1. LUGANSK PEOPLE'S REPUBLIC

a.k.a: Luganskaya Narodnaya Respublika **Other Information:** The so-called 'Lugansk People's Republic' was established on 27 April 2014. On 22 May 2014 the so-called 'People's Republics' of Donetsk and Lugansk created the so-called 'Federal State of Novorossiya'. **Official Website** <https://glava-lnr.ru/content/konstituciya-and-https://glava-lnr.info/> **Official information** <https://glava-lnr.info/> and <https://sovminlnr.ru/> and <https://nslnr.ru/> **Listed on:** 25/07/2014 **Last Updated:** ~~15/03/2018~~ 20/09/2018 **Group ID:** 13047.

2. DONETSK PEOPLE'S REPUBLIC

a.k.a: Donetskaya Narodnaya Respublika **Other Information:** The so-called 'Donetsk People's Republic' was declared on 7 April 2014. On 24 May 2014, the so-called 'People's Republics' of Donetsk and Lugansk signed an agreement on the creation of the so-called 'Federal State of Novorossiya'. Official information including the Constitution of Donetsk People's Republic and the composition of the Supreme Council <https://dnr.online.ru/> and <http://av-zakharchenko.su/> and <http://smdnr.ru/> and <https://dnrsovet.su/> **Listed on:** 25/07/2014 **Last Updated:** ~~15/03/2014~~ 20/09/2018 **Group ID:** 13048.

3. LUGANSK GUARD

Other Information: *Official information:* <https://vk.com/luguard> and <http://vk.com/club68692201> and <https://vk.com/luguardnews>. Self-defence militia of Lugansk. Associated with active leader Mr, German PROPOKIV. **Listed on:** 25/07/2014 **Last Updated:** 25/07/2014 20/09/2018 **Group ID:** 13055.

4. ARMY OF THE SOUTHEAST

Other Information: Illegal armed separatist group. Associated with Mr. Valeriy BOLOTOV, listed as one of the leaders of the group. Also associated with Mr. Vasyl NIKITIN, responsible for the separatist 'governmental' activities of the so-called 'government of the People's Republic of Luhansk'. *Official information:* <http://lugansk-online.info/statements> *Social Media:* <http://vk.com/lugansksbu> **Listed on:** 25/07/2014 **Last Updated:** ~~25/07/2014~~ 20/09/2018 **Group ID:** 13056.

5. DONBASS PEOPLE'S MILITIA

Address: *Donetsk. Prospect Zasyadko.13* **Other Information:** Illegal armed separatist group responsible for fighting against the Ukrainian government forces in the Eastern Ukraine. Inter alia, the militant group seized control of several government buildings in Eastern Ukraine in early April 2014. ~~Its former leader~~ *It is associated with* Mr Pavel Gubarev, *who* is responsible for the taking over of the regional government building in Donetsk with pro-Russian forces and proclaiming himself the 'people's governor'. *Social media:* <http://vk.com/polkdonbassa> *Email:* voenkom.dnr@mail.ru and mobilisation@novorossia.co and polkdonbassa@mail.ru. *Volunteers in Russia:* novoross24@mail.ru **Listed on:** 25/07/2014 **Last Updated:** ~~23/03/2016~~ 20/09/2018 **Group ID:** 13045.

6. VOSTOK BATTALION

Other Information: Illegal armed separatist group. Responsible for fighting against the Ukrainian government forces in Eastern Ukraine. Actively participated in the military operations resulting in the seizure of Donetsk Airport. ~~Reportedly~~ Part of the so-called '1st Army Corps' of the 'Donetsk People's Republic'. *Social Media:* http://vk.com/patriotic_forces_of_donbas and <http://patriot-donetsk.ru/> and info.patriot.donbassa@gmail.com. **Listed on:** 25/07/2014 **Last Updated:** ~~17/09/2016~~ 20/09/2018 **Group ID:** 13046.

7. SANATORIUM NIZHNYAYA OREANDA

Address: *Resort 'Nizhnyaya Oreanda', 298658,, Yalta, Oreanda* **Other Information:** Confiscated by Crimean authorities in 2014 due to appropriation of assets by the state. Formerly known as Resort Nizhnyaya Oreanda. Reregistered on 9 October 2014 as Federal State Budgetary Enterprise Sanatorium Nizhnyaya Oreanda of The Administration Of The President of The Russian Federation. Founder: The Administration of the President of the Russian Federation **Listed on:** 25/07/2014 **Last Updated:** ~~23/03/2016~~ 20/09/2018 **Group ID:** 13058.

- 8. CRIMEAN REPUBLICAN ENTERPRISE 'AZOV DISTILLERY PLANT'**
a.k.a: Azovsky Likerovodochny Zavod **Address:** 40 Zeleznodorozhnaya Str., 296178, Azovskoye, Jankovsky District. **Other Information:** Code: 01271681. Confiscated by Crimea authorities on 9 April 2014 due to appropriation of assets by the State. ~~Reportedly declared bankrupt. Managed by Sinergiya. Ongoing bankruptcy proceedings.~~ **Listed on:** 25/07/2014 **Last Updated:** ~~24/09/2017~~ 20/09/2018 **Group ID:** 13059.
- 9. JOINT-STOCK COMPANY CONCERN ALMAZ-ANTEY**
a.k.a: (1) Almaz-Antey Concern (2) Almaz-Antey Corp. (3) Almaz-Antey Defense Corporation (4) Almaz-Antey JSC **Address:** 41 ul. Vereiskaya Street, Moscow 121471, Russian Federation **Other Information:** ~~Also known as~~ Full name: Joint-Stock Company Almaz-Antey Air and Space Defence Corporation. A Russian state-owned joint stock company. Website: almaz-antey.ru. Email address antey@almaz-antey.ru **Listed on:** 31/07/2014 **Last Updated:** ~~15/03/2018~~ 20/09/2018 **Group ID:** 13076.
- 10. DONETSK REPUBLIC**
Other Information: A public organisation that presented candidates in the elections of the so-called 'Donetsk People's Republic' on 2 November 2014. Headed by Alexander ZAKHARCHENKO and founded by Andriy PURGIN. Official information: <http://oddr.info/> **Listed on:** 02/12/2014 **Last Updated:** ~~02/12/2014~~ 20/09/2018 **Group ID:** 13183.
- 11. PEACE TO LUHANSK REGION**
a.k.a: Mir Luganschine **Other Information:** A public organisation that presented candidates in the elections of the so-called 'Luhansk People's Republic' on 2 November 2014. Headed by Igor PLOTNITSKY. Website <https://mir-lug.info/> **Listed on:** 02/12/2014 **Last Updated:** ~~24/09/2017~~ 20/09/2018 **Group ID:** 13184.
- 12. LUHANSK ECONOMIC UNION**
a.k.a: Luganskiy Ekonomicheskii Soyuz **Other Information:** A social organisation that presented candidates in the elections of the so-called 'Luhansk People's Republic' on 2 November 2014. Nominated a candidate, Oleg AKIMOV, to be 'Head' of the so-called 'Luhansk People's Republic'. Official information: <https://nslnr.su/about/obshchestvennye-organizatsii/337/> **Listed on:** 02/12/2014 **Last Updated:** ~~02/12/2014~~ 20/09/2018 **Group ID:** 13187.
- 13. PRIZRAK BRIGADE**
a.k.a: 14th Motorized Rifle Battalion **Other Information:** Armed separatist group which has actively supported actions which undermine the territorial integrity, sovereignty and independence of Ukraine and to further destabilise Ukraine. Reportedly part of the so-called '2nd Army Corps' of the 'Luhansk People's Republic'. Official information: mail@prizrak.info **Listed on:** 16/02/2015 **Last Updated:** ~~17/09/2016~~ 20/09/2018 **Group ID:** 13222.

Financial Services Commission
20/09/2018