



FINANCIAL SERVICES COMMISSION

09/02/2017

Democratic Republic of the Congo

Introduction

1. Council Regulation (EC) 1183/2005 ("the Regulation") imposing financial sanctions with regard to the Democratic Republic of Congo has been amended.

Notice Summary

2. Identifying information for twenty one individuals and one entity listed in the Annex to this Notice has been amended and are still subject to an asset freeze.

What you must do:

3. You must:
 - I. check whether you maintain any accounts or hold any funds or economic resources for the persons set out in the Annex to this Notice;
 - II. freeze such accounts, and other funds or assets;
 - III. refrain from dealing with the funds or assets or making them available to such persons unless licensed by the Governor;
 - IV. report any findings to the Governor, together with any additional information that would facilitate compliance with the Regulation;

- V. provide any information concerning the frozen assets of designated persons that the Governor may request. Information reported to the Governor may be passed on to other regulatory authorities or law enforcement.
- 4. Failure to comply with financial sanctions legislation or to seek to circumvent its provisions is a criminal offence.
- 5. Where a relevant institution has already reported details of accounts, other funds or economic resources held frozen for designated persons, they are not required to report these details again.

Legislative details

- 6. On 07 February 2017 Council Regulation (EU) No 2017/199 ("the Amending Regulation") was published in the Official Journal of the European Union (O.J. L32, 7.2.2017, p.1) by the Council of the European Union.
- 7. The Amending regulation amends Annex I to the Regulation with effect from 8 February 2017.
- 8. The Amending Regulation reflects the decisions made on 13 and 19 October 2016 by the United Nations Security Council (UNSC) Committee established pursuant to Resolution 1533 (2004) to update the identifying information relating to 21 persons and 1 entity subject to restrictive measures.

Further Information

- 9. A copy of the Amending Regulation can be obtained from the website of the Official Journal of the European Union:
<http://eur-lex.europa.eu/legal-content/EN/TXT/PDF/?uri=CELEX:32017R0199&from=EN>

10. Copies of recent Notices, certain EU Regulations, UN Security Council Resolutions, and UK legislation can be obtained from Democratic Republic of the Congo (DR C) financial sanctions page on the GOV.UK website:

<https://www.gov.uk/government/publications/financial-sanctions-democratic-republic-of-the-congo>

11. Please note there are also imports and exports restrictions on Democratic Republic of the Congo. Further guidance on export and trade sanctions is available from the GOV.UK website: <https://www.gov.uk/sanctions-embargoes-and-restrictions>.

12. For more information please see our guide to financial sanctions:
<https://www.gov.uk/government/publications/financial-sanctions-faqs>

Enquiries / Contact details

13. Non-media enquiries, reports and licence applications should be addressed to:

Her Excellency, the Governor
The Governor's Office
#8 Farara Plaza
Brades, MSR1110
Montserrat
Email: Tony.Bates@fco.gsi.gov.uk

Financial Services Commission
Montserrat

9 February 2017

ANNEX TO NOTICE

DEMOCRATIC REPUBLIC OF THE CONGO

COUNCIL REGULATION (EU) No 2017/199

AMENDING COUNCIL REGULATION (EC) No 1183/2005

ADDITIONS

Individuals

BADEGE, Eric

DOB: --/--/1971. Nationality: Congolese Position: ~~LT. Colonel~~ Address: Rwanda (as of early 2016). Other Information: Was a Lieutenant Colonel and focal point for M23 in Masisi. Fled to Rwanda in March 2013 and is still living there as of early 2016. Listed on: 23/01/2013 Last Updated: 24/04/2015-08/02/2017 Group ID: 12838

BWAMBALE, Frank, Kakolele

a.k.a: (1) BLANC, Aigle (2) BWAMBALE, Frank, Kakorere (3) KAKORERE, Frank Nationality: Congolese Address: Kinshasa, Democratic Republic of the Congo (as of June 2014-2016). Position: FARDC General (without posting (as of June 2011)-Other Information: Former RCD-ML leader. As of June 2016 he lived in Kinshasa. Left the CNDP in Jan 2008. Since 2010, he has been involved in activities apparently on behalf of the DRC government's Programme de Stabilisation et Reconstruction des Zones Sortant des Conflits Armes (STAREC), including participation in a STAREC mission to Goma and Beni in March 2011. DRC authorities arrested him in December 2013 in Beni, North Kivu Province. Listed on: 02/11/2005 Last Updated: 24/04/2015 08/02/2017 Group ID: 8732.

IYAMUREMYE, Gaston

Title: Brigadier Major General DOB: --/--/1948. POB: (1) Musanze District, Northern Province, (2) Ruhengeri, Rwanda a.k.a: (1) BYIRINGIRO, Michel (2) RUMULI, Byiringiro, Victor (3) RUMURI, Victor Nationality: Rwandan Address: North Kivu Province, Democratic Republic of the Congo (as of December 2014 June 2016). Position: FDLR Interim President and 2nd 1st Vice-President and Major General of FDLR-FOCA Other Information: Core member of the FDLR military and political leadership. Ran Ignace Murwanashyaka's (President of the FDLR) office in Kibua, DRC until Dec 2009. As of June 2016 Iyamuremye is in North Kivu province of the Democratic Republic of the Congo. Listed on: 03/12/2010 Last Updated: 24/04/2015 08/02/2017 Group ID: 11276.

KAINA, Innocent

Title: Colonel DOB: --/11/1973 POB: Bunagana, Rutshuru territory, Democratic Republic of the Congo a.k.a: INDIA QUEEN Address: Rwanda (as of late 2014). Uganda (as of early 2016) Position: Sector commander in the Mouvement du 23 Mars (M23) Other Information: Male. Also known as India Queen. Former M23 Deputy Commander. In Uganda as of early 2016. Listed on: 28/02/2013 Last Updated: 24/04/2015 08/02/2017 Group ID: 12830.

Katanga, Germain

DOB: 28/04/1978 POB: Mambasa, Ituri Province, Democratic Republic of the Congo Address: Democratic Republic of the Congo (in prison) Nationality: Congolese Position: FRPI Chief Other Information: Appointed General in the FARDC in Dec 2004. Former Commander of the FRPI. Handed over by the Government of the DRC to the International Criminal Court on 18 Oct

2007. Convicted in May 2014 by the ICC to 12 years in prison. Katanga was transferred to a DRC prison in December 2015 and charged for other crimes previously committed in Ituri. Currently in prison in the Netherlands. Listed on: 02/11/2005 Last Updated: 24/04/2015 08/02/2017 Group ID: 8735.

Lubanga, Thomas

POB: Ituri, Democratic Republic of the Congo Address: Democratic Republic of the Congo (in prison) Nationality: Congolese Position: President of the UPC/L Other Information: Former President of the UPC/L Transferred to the ICC by the Congolese authorities on 17 Mar 2006. Convicted by the ICC in March 2012 and sentenced to 14 years in prison. Currently in prison in the Netherlands. Convicted by the ICC in March 2012, and transferred to a prison facility in the DRC on 19 December 2015 to serve out his sentence. Listed on: 02/11/2005 Last Updated: 24/04/2015 08/02/2017 Group ID: 8738.

Mandro, Khawa Panga

DOB: 20/08/1973. POB: Bunia, Democratic Republic of the Congo Address: Uganda (as of May 2016) a.k.a: (1) KAHWA, Mandro, Panga (2) KARIM, Yves, Andoul (3) MANDRO, Kawa (4) MANDRO, Kawa, Panga (5) MANDRO, Yves, Khawa, Panga (6) PANGA, Kawa Nationality: Congolese Other Information: Former Ex-President of PUSIC, an armed group and militia. Also referred to as Chief Kahwa and Kawa. In August 2014, a DRC military court in Kisangani convicted him of war crimes and sentenced him to 9 years in prison. Convicted of war crimes in August 2014, has now served his sentence and resided in Uganda as of May 2016. Also referred to as Chief Kahwa. Listed on: 02/11/2005 Last Updated: 24/04/2015 08/02/2017 Group ID: 8708.

Mbarushimana, Callixte.

DOB: 24/07/1963. POB: Ndusu/Ruhengeri, Northern Province, Rwanda Nationality: Rwandan Position: Re-elected Executive Secretary of FDLR and Vice-President of the FDLR military high command until his arrest Other Information: Political/Military leader of a foreign armed group operating in the DRC. Was Vice President of the FDLR until his arrest in Paris on 3 Oct 2010 under ICC warrant for war crimes and crimes against humanity committed by FDLR troops in the Kivus in 2009 and transferred to The Hague on 25 Jan 2011 but released by the ICC in late 2011. Listed on: 04/03/2009 Last Updated: 24/04/2015 08/02/2017 Group ID: 10672.

Mudacumura, Sylvestre a.k.a: (1) BERNARD, Mupenzi (2) MUDACUMURA, General (3) MUPENZI, General Major DOB: --/1954 POB: Cellule Ferege, Gatumba, Sector Kibilira Commune, Gisenyi Prefecture, Rwanda Nationality: Rwandan Address: Kikoma forest, near Bogoyi, Walikale, North Kivu (as of June 2011), North Kivu Province, DRC (as of June 2016). Position: FDLR-FOCA military Commander and FDLR-FOCA Lieutenant General, also political 1st Vice-President and head of FOCA High Command Other Information: Also referred to as Radja and Pharaoh. As of 2014, based at the FDLR's headquarters in Nganga, North Kivu. As of mid-2016 Mudacumura was still overall commander of the FDLR's armed wing and located in North Kivu Province in the Democratic Republic of the Congo. Listed on: 02/11/2005 Last Updated: 24/04/2015 08/02/2017 Group ID: 8714.

Mujyambere, Leopold

DOB: (1) --/1966. (2) 17/03/1962. POB: Kigali, Rwanda a.k.a: IBRAHIM, Frere, Petrus Nationality: Rwandan Position: FDLR-FOCA Chief of Staff and Interim Deputy Commander Address: Nyakaleke (south east of Mwenga), South Kivu, DRC. Kinshasa, Democratic Republic of the Congo (as of June 2016) Other Information: Also referred to as Musenyeri and Achille. Former Commander of the Second Division of FOCA. Became acting FDLR-FOCA Deputy Commander in 2014. Captured in GOMA, DRC by Congolese Security Services in May 2016 and transferred to Kinshasa. As of late 2014, acting deputy commander

of FDLR/FOCA, based in Nganga, North Kivu. Listed on: 04/03/2009 Last Updated: 24/04/2015
08/02/2017 Group ID: 10679.

Mukulu, Jamil

DOB: (1) --/--/1965. (2) 01/01/1964. POB: Ntoke Village, Ntenjeru Sub County, Kayunga District, Uganda a.k.a: (1) ALIRABAKI, Steven (2) JUNJUAKA, Abdullah (3) KYAGULANYI, Alilabaki (4) KYAGULANYI, David (5) LUUMU, Nicolas (6) MUHAMMAD, Hussein (7) TALENGELANIMIRO, Musezi (8) TUTU, Mzee (9) MUSHARAF, Professor (10) MASHAURI, Julius Elius, (11) MAZENGO, David, Amos Address: Reportedly in prison in Uganda (as of September 2016) Nationality: Ugandan Position: Head/Commander of the Allied Democratic Forces Other Information: Also referred to as Professor Musharaf and Talengelanimiro. As of September 2016 Mukulu is reportedly being held in a police detention cell awaiting trial for war crimes. Whereabouts unknown as of late 2014. However, he is believed to be in North Kivu, DRC. Listed on: 09/01/2012 Last Updated: 24/04/2015
08/02/2017 Group ID: 12204.

Murwanashyaka, Ignace

Title: Dr DOB: 14/05/1963. POB: (1) Ngoma, Butare, Rwanda (2) Butera, Rwanda Nationality: Rwandan Address: Germany (in prison) Position: President of the FDLR and supreme commander of the FDLR armed forces Other Information: Arrested by German authorities on 17 November 2009. Received a 13 year sentence and is in prison in Germany as of June 2016. Murwanashyaka's trial for war crimes and crimes against humanity committed by FDLR troops in DRC in 2008 and 2009 began on 4 May 2011 in a German court. Replaced by Gaston NYAMUREMYE, alias "Rumuli", as president of FDLR-FOCA. Listed on: 02/11/2005 Last Updated: 09/12/2014 08/02/2017 Group ID: 8713.

Musoni, Straton

DOB: (1) 06/04/1961. (2) 04/06/1961. POB: Mugambazi, Kigali, Rwanda a.k.a: MUSONI, IO Nationality: Rwandan Other Information: Former FDLR Vice President. Arrested by German authorities on 17 Nov 2009 and received 8 year sentence. Released after serving 5 years. Musoni's trial for war crimes and crimes against humanity began on 4 May 2011 in a German court. Replaced as 1st Vice President of the FDLR by Sylvestre MUDACUMURA. Listed on: 30/03/2007 Last Updated: 09/12/2014 08/02/2017 Group ID: 9065.

MUTEBUTSI, Jules

DOB: --/--/1964. POB: Minembwe, South Kivu, Democratic Republic of the Congo a.k.a: (1) MUTEBUSI, Jules (2) MUTEBUTSI, Colonel (3) MUTEBUZI, Jules Nationality: Congolese Other Information: Former FARDC Deputy Military Regional Commander of 10th Military Region. Also referred to as Colonel Mutebutsi. Arrested by the Rwandan authorities in Dec 2007. Reported to have died in Kigali on 9 May 2014. Lives in semi-liberty in Kigali (not authorised to leave the country). Listed on: 02/11/2005 Last Updated: 09/12/2014 08/02/2017 Group ID: 8709.

NGUDJOLO, Mathiew Chui

DOB: 08/10/1970. POB: Bunia, Ituri Province, Democratic Republic of the Congo a.k.a: NGUDJOLO, Cui Nationality: Congolese Position: Chief of Staff Address: Democratic Republic of the Congo Other Information: Former Chief of Staff of the FRPI. Arrested by MONUC in Bunia in Oct 2003. Surrendered by the Government of the DRC to the International Criminal Court on 7 Feb 2008. After being released from custody, he was detained by Dutch authorities, and has filed a claim for asylum in the Netherlands. The Prosecution appealed the ICC's decision. Hearings were held in October 2014 and a decision is pending as of December 2014. Acquitted of all charges by the ICC in December 2012 and the verdict upheld on 27 November 2015. Deported to the DRC on 11 May 2016. Listed on: 02/11/2005 Last Updated: 24/04/2015 08/02/2017 Group ID: 8734.

NJABU, Floribert Ngabu

DOB: 23/05/1971 a.k.a: (1) NDJABU, Floribert (2) NDJABU, Floribert, Ngabu (3) NGABU, Floribert, Njabu Nationality: Congolese Passport Details: Passport No OB 0243318 Position: President of FNI Other Information: Under house arrest in Kinshasa since Mar 2005. Transferred to The Hague on 27 March 2011 to testify in ICC trials. Applied for asylum in the Netherlands in May 2011. In October 2012, a Dutch court denied his asylum claim. In July 2014, he was deported from the Netherlands to DRC, where he was placed under arrest. Listed on: ~~02/11/2005~~ Last Updated: ~~24/04/2015~~ 08/02/2017 Group ID: 8737.

NSANZUBUKIRE, Felicien

DOB: ~~--/--/1967~~. POB: (1) Murama, Kigali, Rwanda (2) Rubungo, Kigali, Rwanda (3) Kinyinya, Kigali, Rwanda a.k.a: IRAKEZA, Fred Nationality: Rwandan Address: ~~Magunda, Mwenga-territory, South Kivu Province, Democratic Republic of the Congo (as of June 2014)~~. Position: 1st battalion leader of the FDLR-FOCA based in the Uvira-Sange area of South Kivu a) FDLR-FOCA Subsector Commander in South Kivu, b) FDLR-FOCA Colonel Other Information: Member of the FDLR since at least 1994 and operating in eastern DRC since Oct 1998. Listed on: 03/12/2010 Last Updated: ~~08/12/2014~~ 08/02/2017 Group ID: 11277.

NTAWUNGUKA, Pacifique

DOB: (1) 01/01/1964. (2) ~~--/--/1964~~. POB: Gaseke, Gisenyi Province, Rwanda a.k.a: NTAWUNGULA, Pacifique Nationality: Rwandan Address: ~~Matembe, North Kivu (as of June 2011). Rutshuru Territory, North Kivu, Democratic Republic of the Congo (as of June 2016)~~ Position: a) FDLR-FOCA "SONOKI" Sector Commander b) FDLR-FOCA Brigadier General Former Commander of the First Division of FOCA. Commander, Operational Sector, North Kivu "SONOKI" of FDLR-FOCA Other Information: Also referred to as Nzeri, Israel and Colonel Omega. As of late 2014, based in Tongo area, June 2016 based in North Kivu province. Listed on: 04/03/2009 Last Updated: ~~24/04/2014~~ 08/02/2017 Group ID: 10678.

NZEYIMANA, Stanislas

DOB: (1) 01/01/1966. (2) ~~--/--/1967~~. (3) 28/08/1966. POB: Mugusa, (Butare), Rwanda a.k.a: (1) DEO, Izabayo (2) IZABAYO, Deogratias, Bigaruka (3) MLAMBA, Jules, Mateso Nationality: Rwandan Address: ~~Mukoberwa, North Kivu (as of June 2011)~~. Position: Former Deputy Commander of FDLR-FOCA Other Information: Also referred to as Bigaruka and Bigurura. Disappeared while in Tanzania in early 2013. Whereabouts unknown as of June 2016 late 2014. Listed on: 04/03/2009 Last Updated: ~~24/04/2014~~ 08/02/2017 Group ID: 10674.

RUNIGA, Jean-Marie Lugerero

DOB: (1) ~~--/--/1960~~. (2) 09/09/1966. POB: Bukavu, DRC Democratic Republic of the Congo a.k.a: RUGERERO, Jean-Marie Address: Rubavu/Mudende, Rwanda. Position: President of the M23 Other Information: DOB is approximate. As of 2016 late 2014, residing in Rwanda. Participated in the creation of a new Congolese political party in June 2016, the Alliance pour le Salut du Peuple (ASP). Listed on: 23/01/2013 Last Updated: 08/02/2017 ~~24/04/2015~~ Group ID: 12839.

TAGANDA, Bosco

DOB: (1) ~~--/--/1973~~. (2) ~~--/--/1974~~. POB: Bigogwe, Rwanda a.k.a: (1) NTAGANDA, Bosco (2) NTAGENDA, Bosco (3) TAGANDA, General Nationality: Congolese Address: The Hague, Netherlands (as of June 2016) ~~Goma (as of June 2011)~~. Position: UPC/L military commander Other Information: Also referred to as Lydia, Terminator, Tango Romeo (Call sign), Romeo (Call sign) and Major. Formerly Chief of Staff in CNDP and became CNDP military commander since the arrest of Laurent NKUNDA in January 2009. He surrendered to ICC officials in Kigali on March 22 and was transferred to The Hague, Netherlands. On 9 June 2014, ICC confirmed 13 charges of war crimes and five charges of crimes against humanity

against him. The trial started in September 2015 is scheduled to start on 2 June 2015. Listed on: 02/11/2005 Last Updated: 08/02/2017 24/04/2015 Group ID: 8736.

Entity

ADF

a.k.a: (1) Allied Democratic Forces (2) Forces Democratiques Alliees-Arme Nationale de Liberation de l'Ouganda (3) ADF/NALU (4) NALU **Address:** North Kivu Province, Democratic Republic of the Congo. **Other Information:** Created in 1995. ADF founder and leader, Jamil Mukulu, was arrested in Dar es Salaam, Tanzania in April 2015. He was extradited to Kampala, Uganda in July 2015. As of June 2016 he is reportedly being held in a police detention cell awaiting trial, and is located in the mountainous DRC-Uganda border area. As of December 2014, ADF was split into several small groups. Jamil Mukulu leads one group, which contain several ADF leaders, and is an unknown location, probably in North Kivu Province. Seka Baluku leads the other main group, which operates in the forest northeast of Beni town in North Kivu Province. ADF also has an extensive support network in DRC, Uganda, Rwanda, and possibly other countries. Listed on: 09/12/2014 Last Updated: 08/02/2017 24/04/2015 Group ID: 13189.

Financial Services Commission
Montserrat

09/02/2017