



# FINANCIAL SERVICES COMMISSION

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27 July 2017

## Financial Sanctions Notice

### Ukraine (Sovereignty and Territorial Integrity)

#### Introduction

1. Council Regulation (EU) 269/2014 (“the Regulation”) imposing financial sanctions against Ukraine (Sovereignty and Territorial Integrity) has been amended.

#### Notice summary (Full details are provided in the Annex to this Notice)

2. The following entry has been amended and is still subject to an asset freeze:
  - ROTENBERG, Arkady, Romanovich (Group ID: 13072)

#### What you must do

3. You must:
  - i. check whether you maintain any accounts or hold any funds or economic resources for the persons set out in the Annex to this Notice;
  - ii. freeze such accounts, and other funds or assets;
  - iii. refrain from dealing with the funds or assets or making them available to such persons unless licensed by the Governor;
  - iv. report any findings to the Governor, together with any additional information that would facilitate compliance with the Regulation;

- v. provide any information concerning the frozen assets of designated persons that the Governor may request. Information reported to the Governor may be passed on to other regulatory authorities or law enforcement.
- 4. Where a relevant institution has already reported details of accounts, other funds or economic resources held frozen for designated persons, they are not required to report these details again.
- 5. Failure to comply with financial sanctions legislation or to seek to circumvent its provisions is a criminal offence.

#### **Legislative details**

- 6. On 26 July 2017 Council Implementing Regulation (EU) No 2017/1374 (“the Amending Regulation”) was published in the Official Journal of the European Union (O.J. L 194, 26.7.2017, p.1) by the Council of the European Union.
- 7. The Amending Regulation amended Annex I to the Regulation with effect from 27 July 2017.

#### **Further Information**

- 8. A copy of the Amending Regulation can be obtained from the website of the Official Journal of the European Union:

<http://eur-lex.europa.eu/legal-content/EN/TXT/PDF/?uri=CELEX:32017R1374&from=EN>

- 9. Copies of recent Notices, certain EU Regulations, and UK legislation can be obtained from the Ukraine (Sovereignty and Territorial Integrity) financial sanctions page on the GOV.UK website:

<https://www.gov.uk/government/publications/financial-sanctions-ukraine-sovereignty-and-territorial-integrity>

- 10. Please note there are also import and export restrictions on Ukraine (Sovereignty and Territorial Integrity) Further guidance on export and trade sanctions is available from the GOV.UK website: <https://www.gov.uk/sanctions-embargoes-and-restrictions>.

11. For more information please see our guide to financial sanctions:

<https://www.gov.uk/government/publications/financial-sanctions-faqs>

### **Enquiries**

12. Non-media enquiries, reports and licence applications should be addressed to:

Her Excellency, the Governor  
The Governor's Office  
#8 Farara Plaza  
Brades, MSR1110  
Montserrat  
Email: [Tony.Bates@fco.gsi.gov.uk](mailto:Tony.Bates@fco.gsi.gov.uk)

ANNEX TO NOTICE

FINANCIAL SANCTIONS: UKRAINE (SOVEREIGNTY AND TERRITORIAL INTEGRITY)

COUNCIL IMPLEMENTING REGULATION (EU) No 2017/1374

AMENDING ANNEX I TO COUNCIL REGULATION (EU) No 269/2014

AMENDMENT

Deleted information appears in strikethrough. Additional information appears in italics and is underlined.

Individual

1. **ROTENBERG, Arkady, Romanovich**  
DOB: 15/12/1951. POB: Leningrad (St Petersburg) a.k.a: ROTENBERG , Arkadii , Romanovich **Other information:** *He is a prominent Russian businessman who has close personal ties to President Putin. Since March 2014, Rotenberg, or his companies, have received State contracts totalling over USD 7 billion. Through these contracts he has financially benefited from Russian decision-makers responsible for the annexation of Crimea or the destabilisation of eastern Ukraine.* He is the owner of the Stroygazmontazh company *which has been awarded a State contract for the construction of the Kerch bridge from Russia to the illegally annexed Autonomous Republic of Crimea. He is and the chairman of the board of directors of publishing house Prosvescheniye which has notably implemented a public relations campaign supporting the Russian Government's policy to integrate Crimea into Russia.* **Listed on:** 31/07/2014 **Last Updated:** ~~20/03/2015~~ 27/07/2017 **Group ID:** 13072.

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27/07/2017