



FINANCIAL SERVICES COMMISSION

21 September 2017

Financial Sanctions Notice

Ukraine (Sovereignty and Territorial Integrity)

Introduction

1. Council Regulation (EU) 269/2014 ("the Regulation") imposing financial sanctions against Ukraine (Sovereignty and Territorial Integrity) has been amended.
2. Identifying information for the individuals and entities listed in the Annex to this Notice has been amended.
3. One entry had been added to the consolidated list and six entries removed, details of which were published in our notice dated 18 September:
https://www.gov.uk/government/uploads/system/uploads/attachment_data/file/645540/Notice_2017.1549_Ukraine.pdf

Notice summary (Full details are provided in the Annex to this Notice)

4. Thirty-three entries have been amended and are still subject to an asset freeze.

What you must do

5. You must:
 - i. check whether you maintain any accounts or hold any funds or economic resources for the persons set out in the Annex to this Notice;
 - ii. freeze such accounts, and other funds or economic resources;

- iii. refrain from dealing with the funds or assets or making them available (directly or indirectly) to such persons unless licensed by the Governor
 - iv. report any findings to the Governor, together with any additional information that would facilitate compliance with the Regulation;
 - v. provide any information concerning the frozen assets of designated persons that the Governor may request. Information reported to the Governor may be passed on to other regulatory authorities or law enforcement.
6. Failure to comply with financial sanctions legislation or to seek to circumvent its provisions is a criminal offence.

Legislative details

- 7. On 15 September 2017 Council Implementing Regulation (EU) No 2017/1549 (“the Amending Regulation”) was published in the Official Journal of the European Union (O.J. L 237, 15.9.2017, p.44) by the Council of the European Union.
- 8. The Amending Regulation amended Annex I to the Regulation with effect from 16 September 2017.

Further Information

- 9. A copy of the Amending Regulation can be obtained from the website of the Official Journal of the European Union:

<http://eur-lex.europa.eu/legal-content/EN/TXT/PDF/?uri=CELEX:32017R1549&from=EN>

- 10. Copies of recent Notices, certain EU Regulations, and UK legislation can be obtained from the Ukraine (Sovereignty and Territorial Integrity) financial sanctions page on the GOV.UK website:

<https://www.gov.uk/government/collections/financial-sanctions-regime-specific-consolidated-lists-and-releases>

11. Please note there are also import and export restrictions on Ukraine (Sovereignty and Territorial Integrity). Further guidance on export and trade sanctions is available from the GOV.UK website: <https://www.gov.uk/sanctions-embargoes-and-restrictions>.
12. For more information please see our guide to financial sanctions:
<https://www.gov.uk/government/publications/financial-sanctions-faqs>

Enquiries

13. Non-media enquiries, reports and licence applications should be addressed to:

Her Excellency, the Governor
The Governor's Office
#8 Farara Plaza
Brades, MSR 1110
Montserrat
Email: Tony.Bates@fco.gsi.gov.uk

ANNEX TO NOTICE

FINANCIAL SANCTIONS: UKRAINE (SOVEREIGNTY AND TERRITORIAL INTEGRITY)

COUNCIL IMPLEMENTING REGULATION (EU) No 2017/1549

AMENDING ANNEX I TO COUNCIL REGULATION (EU) No 269/2014

AMENDMENTS

Individuals

- AKSYONOV, Sergey, Valeryevich**
DOB: 26/11/1972. **POB:** Beltsy (Balti), *now* Republic of Moldova **a.k.a:** (1) AKSENOV, Sergei, Valerievich (2) AKSYONOV, Serhiy, Valeriyovych **Position:** Prime Minister of Crimea **Other Information:** On the 9 October 2014 he was formally elected Head of the so called Republic of Crimea. AKSYONOV subsequently decreed that the offices of Head and Prime Minister be combined. Member of the Russia State Council. Since January 2017, member of the High Council of United Russia Party **Listed on:** 18/03/2014 **Last Updated:** ~~23/03/2016-21/09/2017~~ **Group ID:** 12922.
- CHALIY, ALEKSEI, Mikhailovich**
DOB: 13/06/1961. **POB:** (1) Moscow (2) Sevastopol **a.k.a:** CHALYY, Oleksiy, Mykhaylovych **Position:** Mayor of Sevastopol (Since 23 February 2014) **Other Information:** CHALIY was acting governor of Sevastopol from 1 to 14 April 2014 and is a former elected Chairman of the Legislative Assembly of the City of Sevastopol. Chaliy became 'People's Mayor of Sevastopol' by popular acclamation on 23 February 2014 **Listed on:** 18/03/2014 **Last Updated:** ~~11/04/2017-21/09/2017~~ **Group ID:** 12926.
- GALKIN, Aleksandr, Viktorovich**
DOB: 22/03/1958. **POB:** Ordzhonikidze, North Ossetian ASSR **Other Information:** Former Commander of Russia Southern Military District. Currently employed by the Central apparatus of the Russian Ministry of Defence. Aide to the Minister of Defence since 19 January 2017. **Listed on:** 18/03/2014 **Last Updated:** ~~11/04/2017-21/09/2017~~ **Group ID:** 12932.
- PURHIN, Andriy, Yevhenovych** **PURGIN, Andrei, Evgenevich**
DOB: 26/01/1972. **POB:** Donetsk **a.k.a:** PURGIN, Andrei, Evgenevich PURHIN, Andriy, Yevhenovych **Other Information:** Until 4 September 2015 he was Chairman of the People's Council of the Donetsk People's Republic. Organiser of separatist actions, co-ordinator of actions of the Russian tourists' in Donetsk. Co-founder of a 'Civic Initiative of Donbass for the Eurasian Union'. First Deputy Chairman of the Council of Ministers. As of February 2017, deprived from his mandate of member of the 'People's Council of the Donetsk People's Republic' upon decision of the so-called 'People's Council.' **Listed on:** 29/04/2014 **Last Updated:** ~~11/04/2017-21/09/2017~~ **Group ID:** 12961.

5. **TSYPLAKOV, Sergey, Gennadevich**
DOB: 01/05/1983. **POB:** Khartsyzsk, Donetsk, Oblast *region* a.k.a: TSYPLAKOV, Serhiy, Hennadiyovych **Other Information:** One of the leaders of the People's Militia of Donbas. Member of the 'People's Council of the Donetsk People's Republic', Chair of the 'People's Council Committee on Information Policy and Information Technology'. **Listed on:** 29/04/2014 **Last Updated:** ~~11/04/2017~~ 21/09/2017 **Group ID:** 12963.
6. **KOZYURA, Oleg, Grigorievich**
DOB: (1) 19/12/1962 (2) 30.12.1965 **POB:** (1) Zaporozhye (2) Simferopol, Crimea a.k.a: KOZYURA, Oleh, Hryhorovych **Position:** Assistant to Sevastopol Municipal Council Deputy Mikhail Chaluy Chaly **Other Information:** Former Head of the Federal Migration Service office for Sevastopol. **Listed on:** 12/05/2014 **Last Updated:** ~~11/04/2017~~ 21/09/2017 **Group ID:** 12969.
7. **LYAGIN, Roman, Viktorovich**
DOB: 30/05/1980. **POB:** Donetsk, Ukraine a.k.a: LIAHIN, Roman, Viktorovych **Other Information:** Former Head of the 'Donetsk People's Republic' Central Electoral Commission. Remains active in supporting separatist actions and policies. **Listed on:** 12/05/2014 **Last Updated:** ~~11/04/2017~~ 21/09/2017 **Group ID:** 12975.
8. **SHEVCHENKO, Igor, Sergeievich**
POB: Sevastopol, Crimea **DOB:** 09/02/--- **Other Information:** Prosecutor of Sevastopol. Actively implementing Russia's annexation of Sevastopol. **Listed on:** 12/05/2014 **Last Updated:** ~~21/09/2015~~ 21/09/2017 **Group ID:** 12978.
9. **KARYAKIN, Aleksey, Vyacheslavovich**
DOB: (1) 07/04/1979. (2) 07/04/1980. **POB:** Stakhanov, Lugansk Oblast *Region* a.k.a: KARYAKIN, Oleksiy Vyacheslavovych **Other Information:** Until 25 March 2016 he was so-called 'Supreme Council Chair of the Lugansk People's Republic'. Former member of the so-called People's Council of the Lugansk People's Republic. Responsible for the separatist governmental activities of the Supreme Council. Signatory of the Memorandum of Understanding on the 'Novorossiia union'. Remains active in supporting separatist actions or policies. **Listed on:** 12/07/2014 **Last Updated:** ~~11/04/2017~~ 21/09/2017 **Group ID:** 13015.
10. **FRADKOV, Mikhail, Efimovich**
DOB: 01/09/1950. **POB:** Kurumoch, Kuibyshev region **Position:** As of 4 January 2017, Director of the Russian Institute for Strategic Studies **Other Information:** He is also the Chairperson of the Board of Directors of 'Almaz'Antey.' Former Director of the Foreign Intelligence Service of the Russian Federation. Former Permanent member of the Security Council of the Russian Federation **Listed on:** 25/07/2014 **Last Updated:** ~~11/04/2017~~ 21/09/2017 **Group ID:** 13035.
11. **ZDRILIUK, Serhii, Anatoliyovych**
DOB: (1) 23/06/1972 (2) 23.7.1972 **POB:** Vinnytsia region **Other Information:** Senior aide to Igor Strelkov/Girkin. Also referred as Abwehr **Listed on:** 25/07/2014 **Last Updated:** ~~25/07/2014~~ 21/09/2017 **Group ID:** 13066

- 12. MALOFEEV, Konstantin, Valerevich**
DOB: 03/07/1974. **POB:** Puschino, Moscow region **Other Information:** Mr Malofeev is closely linked to Ukrainian separatists in Eastern Ukraine and Crimea. He is a former employer of Mr Borodai, former so-called Prime Minister of the Donetsk People's Republic. **Listed on:** 31/07/2014 **Last Updated:** 47/09/2016-21/09/2017 **Group ID:** 13073.
- 13. BUGROV, Oleg, Evgenevich.**
DOB: (1)29/08/1969 (2) ~~1/1/1973~~ **POB:** Sverdlovsk, Luhansk a.k.a: BUHROV, Oleg, Yevhenovych **Other Information:** Former Defence Minister of the Lugansk People's Republic. **Listed on:** 02/12/2014 **Last Updated:** 47/09/2016-21/09/2017 **Group ID:** 13177.
- 14. ORLOV, Yevgeniy, Vyacheslavovich**
DOB: (1) 10/05/1980. (2) 21/10/1983. **POB:** Snezhnoye, Donetsk oblast region a.k.a: ORLOV, Yevhen, Vyacheslavovych **Position:** Chairman of the public movement 'Free Donbass.' **Other Information:** Member of the National Council of the Donetsk's People's Republic **Listed on:** 02/12/2014 **Last Updated:** 44/04/2017-21/09/2017 **Group ID:** 13181.
- 15. BASURIN, Eduard, Aleksandrovich**
DOB: 27/06/1966. **POB:** Donetsk a.k.a: BASURIN, Eduard, Oleksandrovych **Position:** Spokesperson of the Ministry of Defence of the Donetsk People's Republic. **Other Information:** Remains an active military commander in so-called 'DNR' **Listed on:** 16/02/2015 **Last Updated:** 44/04/2017-21/09/2017 **Group ID:** 13203.
- 16. MANUILOV-MANUYLOV, Evgeny, Vladimirovich**
DOB: 05/01/1967. **POB:** Baranykivka, Bilovodsk Raion, Luhansk oblast region a.k.a: MANUYLOV, Yevhen, Volodymyrovych **Position:** So called 'Minister of Finance' Income and Taxes of the so called Lugansk People's Republic. **Listed on:** 16/02/2015 **Last Updated:** 44/04/2017-21/09/2017 **Group ID:** 13209
- 17. ANTONOV, Anatoly, Ivanovich**
DOB: 15/05/1955. **POB:** Omsk **Position:** Deputy Minister of Foreign Affairs as of 28 Dec 2016, **Other Information:** Former Deputy Minister of Defence. **Listed on:** 16/02/2015 **Last Updated:** 46/02/2015-21/09/2017 **Group ID:** 13213.

Entities

- 1. STATE UNITARY ENTERPRISE OF THE REPUBLIC OF CRIMEA**
CHERNOMORNEFTEGAZ a.k.a: ~~Chernomorneftegaz~~ **Address:** Prospekt Kirov 52, Simferopol, Crimea 295000. Phone +7 (3652) 66-70-00 and +7(3652) 66-78-00 **Other Information:** Confiscated by Crimean authorities in 2014 due to appropriation of assets by the state. Formerly known as PJSC Chernomorneftegaz. Reregistered on 29 November 2014 as State Unitary Enterprise of the Republic of Crimea Chernomorneftegaz. Founder: The Ministry of Fuel and Energy of the

Republic of Crimea **Listed on:** 12/05/2014 **Last Updated:** ~~23/03/2016~~ 21/09/2017
Group ID: 12979.

2. FEDERAL STATE OF NOVOROSSIIYA

a.k.a: Federativnoye Gosudarstvo Novorossiya **Other Information:** On 24 May 2014, the so called 'People's Republics' of Donetsk and Lugansk signed an agreement on the creation of the unrecognized so called 'Federal State of Novorossiya'. Official press releases <http://novorossia.su/official> and <http://frn2016.netdo.ru/> <https://www.novorosinform.org/> **Listed on:** 25/07/2014 **Last Updated:** ~~25/07/2014~~ 21/09/2017 **Group ID:** 13050.

3. INTERNATIONAL UNION OF PUBLIC ASSOCIATIONS 'GREAT DON

ARMY' Address: (1) 346465 Russia, Rostov Region, October District, St Zaplavskaya, Str Shosseynaya 1 (2) Voroshilovskiy Prospekt 12/85-87/13, Rostov-on-Don **Other Information:** The Great Don Army established the Cossack National Guard, responsible for the fighting against the Ukrainian Government forces in Eastern Ukraine. Associated with Nikolay KOZITSYN, Commander of Cossack forces. **Official web site:** <http://vvd2003.nared.ru/>-<http://xn-80aaaajfjszd7a3b0e.xn-p1ai/> **Phone number** +7-8-908-178-65-57. **Social media:** Cossack National Guard http://vk.com/kazak_nac_guard **Listed on:** 25/07/2014 **Last Updated:** ~~25/07/2014~~ 21/09/2017 **Group ID:** 13052.

4. SOBOL

a.k.a: Soboli **Address:** Crimea, Simferopol, Str. Kiev, 4 (area bus station 'Central'), Crimea. **Other Information:** Radical paramilitary organisation responsible for openly supporting using force to end Ukraine's control over Crimea. Official website: <http://soboli.net>. **Social media:** <http://vk.com/sobolipress> **email:** sobolipress@gmail.com **Phone number:** (0652) 60-23-93. **Listed on:** 25/07/2014 **Last Updated:** ~~25/07/2014~~ 21/09/2017 **Group ID:** 13053.

5. STATE UNITARY ENTERPRISE OF THE CITY OF SEVASTOPOL, 'SEVASTOPOL SEAPORT'

Address: Nakhimov Square 5, 299011, Sevastopol. Code: 1149204004707 **Other Information:** Confiscated by Crimean authorities in 2014 due to appropriation of assets by the state. Formerly known as State enterprise 'Sevastopol Commercial Seaport' Reregistered on 6 June 2014 as State Unitary Enterprise of The City of Sevastopol, Sevastopol Seaport. Founder: The Government of Sevastopol. **Listed on:** 25/07/2014 **Last Updated:** ~~23/03/2016~~ 21/09/2017 **Group ID:** 13051

6. STATE UNITARY ENTERPRISE OF THE REPUBLIC OF CRIMEA UNIVERSAL-AVIA

Address: Aeroflotskaya Street 5, 295024, Simferopol **Other Information:** Confiscated by Crimean authorities in 2014 due to appropriation of assets by the state. Formerly known as State enterprise Universal-Avia. Reregistered on 15 January 2015 as State Unitary Enterprise of The Republic of Crimea Universal-Avia. Founder: The Ministry of Transportation of the Republic of Crimea. **Listed on:** 25/07/2014 **Last Updated:** ~~23/03/2016~~ 21/09/2017 **Group ID:** 13057.

7. **CRIMEAN REPUBLICAN ENTERPRISE AZOV DISTILLERY PLANT**
a.k.a: Azovsky Likerovodochny Zavod **Address:** 40 Zeleznodorozhnaya Str., 96478, 296178 Azovskoye, Jankovsky District. **Other Information:** Confiscated by Crimean authorities on 9 April 2014 due to appropriation of assets by the State. Reportedly declared bankrupt. Managed by Sinergiya. Code: 01271681 **Listed on:** 25/07/2014 **Last Updated:** 25/07/2014 21/09/2017 **Group ID:** 13059.
8. **PRODUCTION-AGRARIAN UNION 'MASSANDRA'**
Address: 298650, Crimea, Yalta, Massandra Str. Vinodela, Egorova 9 **Other Information:** Confiscated by Crimean authorities in 2014 due to appropriation of assets by the state. Formerly known as State concern National Association Of Producers "Massandra". Reregistered on 1 August 2014 (and full title) as Federal State Budgetary Enterprise Production Agrarian Union 'Massandra' of The Administration of The President of The Russian Federation. **Website:** http://massandra.su **Founder:** The Administration of the President of the Russian Federation. **Listed on:** 25/07/2014 **Last Updated:** 23/03/2016-21/09/2017 **Group ID:** 13060.
9. **ALL RUSSIA NATIONAL SCIENTIFIC RESEARCH INSTITUTE FOR WINE GROWING AND WINE MAKING UNITARY ENTERPRISE OF THE REPUBLIC OF CRIMEA 'NATIONAL INSTITUTE OF WINE "MAGARACH"**
Address: 298600 Kirov Street, 31 Yalta, Crimea **Other Information:** The enterprise was effectively confiscated by the Crimean 'authorities' on 9 April 2014. It was re-named on 15 January 2015 as 'State Unitary Institution Enterprise of the Republic of Crimea 'National Institute of Wine Magarach'. **Founder:** The Ministry of Agriculture of the Republic of Crimea On 7 February 2017 State Unitary Enterprise of the Republic of Crimea National Institute of Wine Magarach was transformed into (and full title) Federal Budgetary Scientific facility "All- Russia scientific research institute of viticulture and winemaking "Magarach", Russian Academy of Sciences. Formerly known as 'State Unitary Enterprise of the Republic of Crimea' National Institute of Wine "Magarach" and Formerly known as 'state enterprise "Magarach" of the national institute of wine. **Listed on:** 25/07/2014 **Last Updated:** 17/09/2016-21/09/2017 **Group ID:** 13061.
10. **STATE UNITARY ENTERPRISE OF THE "REPUBLIC OF CRIMEA" FACTORY OF SPARKLING WINE PLANT NOVY SVET**
Address: 298032, Crimea, Sudak, urban village Novy Svet, Str. Shalapina 1 **Other Information:** Confiscated by Crimean authorities in 2014 due to appropriation of assets by the state. Formerly known as Factory of Sparkling Wine Novy Svet. Reregistered on 4 January 2015 as State Unitary Enterprise of The Republic of Crimea Factory of Sparkling Wine Novy Svet **Founder:** The Ministry of Agriculture of the Republic of Crimea. **Listed on:** 25/07/2014 **Last Updated:** 23/03/2016-21/09/2017 **Group ID:** 13062.

11. RUSSIAN NATIONAL COMMERCIAL BANK

Address: 295000, Simferopol, Naberezhnaja Street named after 60th anniversary of USSR, 34 **Other Information:** After the illegal annexation of Crimea, Russian National Commercial Bank (RNCB) became fully owned by the so called Republic of Crimea. Has become the dominant bank in the market. Has bought or taken over branches of retreating banks in Crimea. RNCB supported materially and financially the actions of the Russian government to integrate Crimea into the Russian Federation, thus undermining Ukraine's territorial integrity Website: <http://www.rncb.ru> **Listed on:** 31/07/2014 **Last Updated:** ~~23/03/2016~~ 21/09/2017 **Group ID:** 13078.

12. PEACE TO LUHANSK REGION

a.k.a: Mir Luganschine **Other Information:** A public organisation that presented candidates in the elections of the Luhansk People's Republic on 2 November 2014. Headed by Igor PLOTNITSKY. Website <https://mir-lug.info/> **Listed on:** 02/12/2014 **Last Updated:** ~~02/12/2014~~ 21/09/2017 **Group ID:** 13184.

13. FREE DONBASS

a.k.a: (1) Free Donbas (2) Svobodny Donbass **Other Information:** A public organisation that presented candidates in the elections of the Donetsk People's Republic on 2 November 2014. Website <http://www.odsd.ru/> **Listed on:** 02/12/2014 **Last Updated:** ~~02/12/2014~~ 21/09/2017 **Group ID:** 13185.

14. SPARTA BATTALION

Other Information: Armed separatist group which has actively supported actions which undermine the territorial integrity, sovereignty and independence of Ukraine and to further destabilise Ukraine. ~~Commanded by and therefore associated with Arseny PAVLOV. Reportedly~~ Part of the so-called '1st Army Corps' of the 'Donetsk People's Republic'. **Listed on:** 16/02/2015 **Last Updated:** ~~47/09/2016~~ 21/09/2017 **Group ID:** 13219.

15. SOMALI BATTALION

Other Information: Armed separatist group which has actively supported actions which undermine the territorial integrity, sovereignty and independence of Ukraine and to further destabilise Ukraine. ~~Commanded by and therefore associated with Mikhail TOLSTYKH aka Givi. Reportedly~~ Part of the so-called '1st Army Corps' of the 'Donetsk People's Republic'. **Listed on:** 16/02/2015 **Last Updated:** ~~47/09/2016~~ 21/09/2017 **Group ID:** 13220.

16. MOVEMENT 'NOVOROSSIYA' OF IGOR STRELKOV

Other Information: Novorossiya (New Russia) was established in November 2014 in Russia. According to its stated objectives, it aims at providing all-round, effective assistance to 'Novorossiya', including by helping militia fighting in Eastern Ukraine. It is headed by Russian officer Igor STRELKOV. Website <http://novorossia.pro/> **Listed on:** 16/02/2015 **Last Updated:** ~~16/02/2015~~ 21/09/2017 **Group ID:** 13226.