



FINANCIAL SERVICES COMMISSION

9 March 2017

Financial Sanctions Notice

Democratic Republic of the Congo

Introduction

1. Council Regulation (EC) 1183/2005 ("the Regulation") imposing financial sanctions against Democratic Republic of the Congo has been amended.
2. Identifying information for the individuals and entities listed in the Annex to this Notice has been amended.

Notice summary (Full details are provided in the Annex to this Notice)

3. The following entries have been amended and are still subject to an asset freeze:
 - KAKWAVU Bukande Jerome (Group ID: 8707)
 - MAKENGA Sultani (Group ID: 12812)
 - ZIMURINDA Innocent (Group ID: 11278)
 - CONGOMET TRADING HOUSE (Group ID: 9069)
 - MACHANGA LTD (Group ID: 9067)
 - TOUS POUR LA PAIX ET LE DEVELOPPEMENT (NGO) (Group ID: 8744)

What you must do

4. You must:
 - i. check whether you maintain any accounts or hold any funds or economic resources for the persons set out in the Annex to this Notice;
 - ii. freeze such accounts, and other funds or assets;
 - iii. refrain from dealing with the funds or assets or making them available to such persons unless licensed by the Governor;
 - iv. report any findings to the Governor, together with any additional information that would facilitate compliance with the Regulation;
 - v. provide any information concerning the frozen assets of designated persons that the Governor may request. Information reported to the Governor may be passed on to other regulatory authorities or law enforcement.

5. Where a relevant institution has already reported details of accounts, other funds or economic resources held frozen for designated persons, they are not required to report these details again.
6. Failure to comply with financial sanctions legislation or to seek to circumvent its provisions is a criminal offence.

Legislative details

7. On 08 March 2017 Council Implementing Regulation (EU) No 2017/396 ("the Amending Regulation") was published in the Official Journal of the European Union (O.J. L 60/41, 08.03.2017) by the Council of the European Union.
8. The Amending Regulation amended Annex 1 to the Regulation with effect from 09 March 2017.
9. The Amending Regulation reflects the decisions made on 12 January 2017 by the United Nations Security Council (UNSC) Committee established pursuant to Resolution 1533 (2004).

Further Information

10. A copy of the Amending Regulation can be obtained from the website of the Official Journal of the European Union:

<http://eur-lex.europa.eu/legal-content/EN/TXT/PDF/?uri=CELEX:32017R0395&from=EN>

11. Copies of recent Notices, certain EU Regulations, UNSC Resolutions and UK legislation can be obtained from Democratic Republic of the Congo financial sanctions page on the GOV.UK website:

<https://www.gov.uk/government/collections/financial-sanctions-regime-specific-consolidated-lists-and-releases>

12. Further details on the UN measures in respect of Democratic Republic of the Congo can be found on the relevant UN Sanctions Committee webpage:

<http://www.un.org/sc/committees>.

13. Please note there are also import and export restrictions on Democratic Republic of the Congo. Further guidance on export and trade sanctions is available from the GOV.UK website: <https://www.gov.uk/sanctions-embargoes-and-restrictions>

14. For more information please see our guide to financial sanctions:

<https://www.gov.uk/government/publications/financial-sanctions-faqs>

Enquiries

15. Non- media enquiries, reports and licence applications should be addressed to:

Her Excellency, the Governor
The Governor's Office
#8 Farara Plaza
Brades, MSR1110
Montserrat
Email: Tony.Bates@fco.gsi.gov.uk

Financial Services Commission
09/03/17

ANNEX TO NOTICE

**FINANCIAL SANCTIONS: DEMOCRATIC REPUBLIC OF THE CONGO
COUNCIL IMPLEMENTING REGULATION (EU) No 2017/396
AMENDING ANNEX I TO COUNCIL REGULATION (EU) No 1183/2005**

Individuals

AMENDMENTS

1. **KAKWAVU Bukande Jerome**

Title: General **POB:** Goma **a.k.a:** (1) COMMANDANT JEROME (2) KAKWAVU, Jerome
Nationality: Congolese **Other Information:** Former General in FARDC, Given the rank in December 2014. Former President of UCD/FAPC. Detained in Makala Prison in Kinshasa (as at June 2011). Convicted in November 2014 by the DRC military to 10 years in prison. **Listed on:** 02/11/2005 **Last Updated:** 09/03/2017 **Group ID:** 8707.

2. **MAKENGA Sultani**

DOB: 25/12/1973. **POB:** Rutshuru, Democratic Republic of the Congo **a.k.a:** (1) MAKENGA, Emmanuel, Sultani (2) MAKENGA, Colonel, Sultani **Nationality:** Congolese **Address:** In Uganda as of late 2014. **Position:** Military leader of the Mouvement du 23 Mars (M23) group operating in the Democratic Republic of the Congo **Other Information:** Male. **Listed on:** 28/02/2016 **Last Updated:** 09/03/2017 **Group ID:** 12812.

3. **ZIMURINDA Innocent**

Title: Lieutenant-Colonel **DOB:** (1) 01/09/1972. (2) --/--/1975. (3) 16/03/1972. **POB:** (1) Ngungu, Masisi Territory, North Kivu Province (2) Masisi, Democratic Republic of the Congo **a.k.a:** ZIMULINDA, Innocent **Nationality:** Congolese **Address:** Masisi Territory, North Kivu Province, Democratic Republic of the Congo. **Position:** M23, Bde Comd, Rank: Colonel and Colonel in the FARDC **Other Information:** As of late 2014, residing in Ngoma camp, Rwanda. Entered the Republic of Rwanda on 16 March 2013, at Gasizi/Rubavu **Listed on:** 03/12/2010 **Last Updated:** 09/03/2017 **Group ID:** 11278

Entities

AMENDMENTS

1. CONGOMET TRADING HOUSE

Address: Butembo, North Kivu, Democratic Republic of the Congo. **Other Information:** No longer exists as a gold trading house in Butembo, North Kivu. Formerly listed as Congocom. Congomet Trading House (formerly listed as Congocom) was owned by Kisoni Kambale (deceased on 5 July 2007 and subsequently de-listed on 24 April 2008) **Listed on:** 30/03/2007 **Last Updated:** 09/03/2017 **Group ID:** 9069.

2. MACHANGA LTD

Address: Plot 55A, Upper Kololo Terrace, Kampala, Uganda. **Other Information:** Gold export company. Directors are Mr Rajendra Kumar Vaya and Mr Hirendra M Vaya. **Listed on:** 30/03/2007 **Last Updated:** 09/03/2017 **Group ID:** 9067.

3. TOUS POUR LA PAIX ET LE DEVELOPPEMENT (NGO) **(TPD)**

a.ka: TPD **Address:** Goma, North Kivu, Democratic Republic of the Congo. **Other Information:** Based in Goma, with provincial committees in South Kivu, Kasai Occidental, Kasai Oriental and Maniema. The TPD President is Eugene Serufuli and Vice-President is Saverina Karomba. Important members include North Kivu provincial deputies Robert Seninga and Bertin Kirivita. Officially suspended all activities since 2008. In practice, all TPD offices are open (as of June 2011). **Listed on:** 02/11/2005 **Last Updated:** 09/03/2017 **Group ID:** 8744.

*Financial Services Commission
09/03/17*