** FINANCIAL SERVICES COMMISSION**

 

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| 31 July 2014**Financial Sanctions Notice**  |

**Ukraine (Sovereignty and Territorial Integrity)****Introduction**1. Council Regulation (EU) No. 269/2014 (“the Regulation”), the Regulation imposing financial sanctions in respect of Ukraine (Sovereignty and Territorial Integrity), has been amended so that the individuals and entities listed in the Annex to this Notice have been added to the list of persons subject to financial sanctions.Notice summary (Full details are provided in the annex to this Notice). 2. Eleven entries (eight individuals and three entities) have been added to the Annex to the Regulation. These individuals and entities are subject to an asset freeze. See the Annex to this Notice for details. **What you must do**3. You must:1. check whether you maintain any accounts or hold any funds or economic resources for the persons set out in the Annex to this Notice;
2. freeze such accounts, and other funds or economic resources;
3. refrain from dealing with such accounts, funds or economic resources or making them available to such persons unless licensed by the Governor;
4. report any findings to the Governor, together with any additional information that would facilitate compliance with the Regulation; and
5. provide any information concerning the frozen assets of designated persons that the Governor may request. Information reported to the Governor may be passed on to other regulatory authorities or law enforcement.

4. Failure to comply with financial sanctions legislation or to seek to circumvent its provisions is a criminal offence.**Legislative details**5. On 30 July 2014 Council Implementing Regulation (EU) No. 826/2014 (“the Amending Regulation”) was published in the Official Journal of the European Union (O.J. L 226, 30.7.2014, p.16) by the Council of the European Union.6. The Amending Regulation amended Annex I to Council Regulation (EU) No. 269/2014,  with effect from 30 July 2014.**Other measures**7. Council Regulation (EU) No. 825/2014 was published in the Official Journal of the European Union at the same time as the Amending Regulation (O.J. L 226, 30.7.2014, p.2). This Regulation imposed restrictions on the importation of goods originating in Crimea and Sevastopol, as part of the EU's response to the illegal annexation of Crimea and Sevastopol. A copy of this Regulation can be found here: <http://eur-lex.europa.eu/legalcontent/EN/TXT/PDF/?uri=OJ:JOL_2014_226_R_0002&from=EN>8. Please see the Sanctions and Embargoes page on Gov.uk for more information:  <https://www.gov.uk/sanctions-embargoes-and-restrictions>. 9. Further measures have been announced in respect of certain transferable securities and money-market instruments. A Notice will be published by the Governor in due course. **Further Information**10. A copy of the Amending Regulation can be obtained from the Official Journal of the  European Union website: <http://eur-lex.europa.eu/legal-content/EN/TXT/PDF/?uri=OJ:JOL_2014_226_R_0003&from=EN>11. Copies of relevant Releases, certain EU Regulations and UK legislation can be obtained from the Ukraine (Sovereignty and Territorial Integrity) Financial Sanctions page accessible via the Gov.uk website:<https://www.gov.uk/government/collections/financial-sanctions-regime-specific-consolidated-lists-and-releases>12. Please see the FAQs for more information around financial sanctions:<https://www.gov.uk/government/publications/financial-sanctions-faqs>**Enquiries** 13. Enquiries should be addressed to the Financial Services Commission on 01-664-491-6887. **Financial Services Commission****Montserrat****Brades****31/07/2014** |
| **ANNEX TO NOTICE****FINANCIAL SANCTIONS: UKRAINE (SOVEREIGNTY AND TERRITORIAL INTEGRITY)****COUNCIL IMPLEMENTING REGULATION (EU) NO 826/2014****AMENDING ANNEX I TO COUNCIL REGULATION (EU) NO 269/2014****ADDITIONS****Individuals**1. **ABISOV, Sergey**DOB: 27/11/1967.Position: Minister of Interior of the Republic of Crimea Group ID: 13071. 2. **GROMOV, Alexey, Alexeyevich**DOB: 31/05/1960.POB: Zagorsk (Sergiev Posad)Position: First Deputy Chief of Staff of the Presidential Adminstration Group ID: 13068. 3. **KOVALCHUK, Yuriy, Valentinovich** DOB: 25/07/1951.POB: Leningrad (St Petersburg)Position: Chairman of Bank RossiyaOther Information: Largest shareholder of Bank Rossiya. He is a co-founder of Ozero Dacha, a co-operative society. Group ID: 13075. 4. **LITVINOV, Boris**Other Information: Chairman of the 'Supreme Council' of the Donetsk People's Republic. Group ID: 13070.5. **MALOFEEV, Konstantin, Valerevich**DOB: 03/07/1974.POB: Puschino Group ID: 13073. 6. **ROTENBERG, Arkady, Romanovich**DOB: 15/12/1951.POB: Leningrad (St Petersburg)Other Information: A major shareholder of Giprotransmost. Group ID: 13072. 7. **SHAMALOV, Nikolay, Terentievich**DOB: 24/01/1950.Other Information: Co-founder of Ozero Dacha, a co-operative society. He is the second largest shareholder of Bank Rossiya. Group ID: 13074. 8. **TCHIGRINA, Oksana**Other Information: Spokesperson of the government of the Lugansk People's Republic. Group ID: 13069. **Entities**1. **DOBROLET**a.k.a: Dobrolyot Address: International Highway, House 31, Building 1, Moscow, 141411.Other Information: A subsidiary of a Russian state-owned airline. Its airline code is QD. Website: www.dobrolet.com. Group ID: 13077. 2. **JOINT-STOCK COMPANY CONCERN ALMAZ-ANTEY**a.k.a: (1) Almaz-Antey Corp. (2) Almaz-Antey Defense Corporation(3) Almaz-Antey JSCAddress: 41 ul. Vereiskaya, Moscow, Russia, 121471.Other Information: A Russian state-owned joint stock company. Website: www.almaz-antey.ru. Group ID: 13076. 3. **RUSSIAN NATIONAL COMMERCIAL BANK**Address: Krasnoproletarskaya, Street 9/5, Moscow, Russian Federation, 127030.Other Information: License of the Central Bank of Russia No. 1354. Group ID: 13078. **Financial Services Commission****31.07.2014** |
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