** FINANCIAL SERVICES COMMISSION**



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| |  | | --- | | 20 March 2015 |   **Ukraine (Sovereignty and Territorial Integrity)**  **Introduction**   1. Council Regulation (EU) 269/2014 (“the Regulation”) imposing financial sanctions in respect of Ukraine (Sovereignty and Territorial Integrity) has been amended so that an asset freeze no longer applies to the person listed in the Annex to this Notice. 2. Identifying information for the 50 individuals listed in the Annex to this Notice has also been amended.   **Notice summary (Full details are provided in the Annex to this Notice)**   1. The following entry has been removed from the consolidated list and is no longer subject to an asset freeze.  * Ludmila Ivanovna SHVETSOVA (Group ID: 12955)  1. Fifty entries have also had their listing details amended. Their details can be found in the Annex to this Notice. They are still subject to an asset freeze.   **What you must do**   1. You must: 2. check whether you maintain any accounts or hold any funds or economic resources for the persons set out in the Annex to this Notice; 3. freeze such accounts, and other funds or assets; 4. refrain from dealing with the funds or assets or making them available to such persons unless licensed by the Treasury; 5. report any findings to the Treasury, together with any additional information that would facilitate compliance with the Regulation; 6. provide any information concerning the frozen assets of designated persons that the Treasury may request. Information reported to the Treasury may be passed on to other regulatory authorities or law enforcement; 7. Where a relevant institution has already reported details of accounts, other funds or economic resources held frozen for designated persons, they are not required to report these details again. 8. Failure to comply with financial sanctions legislation or to seek to circumvent its provisions is a criminal offence.   **Legislative details**   1. On 14 March 2015 Council Implementing Regulation (EU) No 2015/427 (“the Amending Regulation”) was published in the Official Journal of the European Union (O.J. L 70, 14.3.2015, p.1) by the Council of the European Union. 2. The Amending Regulation amended Annex I to the Regulation with effect from 15 March 2015.   **Further Information**   1. A copy of the Amending Regulation can be obtained from the website of the Official Journal of the European Union:   http://eur-lex.europa.eu/legal-content/EN/TXT/PDF/?uri=OJ:JOL\_2015\_070\_R\_0001&from=EN   1. Copies of relevant Releases, certain EU Regulations, and UK legislation can be obtained from the Ukraine (Sovereignty and Territorial Integrity) financial sanctions page on the GOV.UK website:   <https://www.gov.uk/government/collections/financial-sanctions-regime-specificconsolidated-lists-and-releases>   1. Please see the FAQs for more information around financial sanctions: <https://www.gov.uk/government/publications/financial-sanctions-faqs>   **Enquiries/Contact Details**   1. Non-media enquiries should be addressed to:   The Commissioner  Financial Services Commission  Phoenix House  Brades, MSR1110  Montserrat  Email: [fscmrat@candw.ms](mailto:fscmrat@candw.ms)  **Financial Services Commission**  **20/03/2015**  **ANNEX TO NOTICE**  **FINANCIAL SANCTIONS: UKRAINE (SOVEREIGNTY AND TERRITORIAL INTEGRITY)**  **COUNCIL IMPLEMENTING REGULATION (EU) No 2015/427**  **AMENDING ANNEX I TO COUNCIL REGULATION (EU) No 269/2014**  **DELISTING**  **Individual**   1. **SHVETSOVA, Ludmila, Ivanovna**   DOB: 24/09/1949. POB: Alma-Ata, USSR Position: Deputy Chairman of State Duma, United Russia Listed on: 29/04/2014 Last Updated: 20/03/2015 Group ID: 12955.  **AMENDMENTS**  Deleted information appears in strikethrough. Additional information appears in italics and is underlined.  **Individuals**   1. **AIRAPETYAN, Larisa, *Leonidovna***   DOB: *21/02/1970* a.k.a: (1) AIRAPETYAN, Larysa (2) AYRAPETYAN, Larysa (3) *AIRAPETYAN, Larisa*  Position: Health Minister of the ~~Luhansk~~ *Lugansk* People's Republic  Listed on: 02/12/2014  Last Updated: 20/03/2015  Group ID: 13172.   1. **AKIMOV, Oleg, *Konstantinovich***   DOB: 15/09/1981 a.k.a: AKIMOV, Oleh  Position: Deputy of the Luhansk Lugansk Economic Union in the National Council of the Luhansk Lugansk People's Republic  Listed on: 02/12/2014  Last Updated: 20/03/2015  Group ID: 13171.   1. **AKSYONOV, Sergey, Valeryevich**   DOB: 26/11/1972.  POB: *Beltsy (Balti), Moldova* a.k.a: (1) *AKSENOV, Sergei* *Valerievich* a.k.a: (2) *AKSYONOV, Serhiy Valeriyovych*  Position: Prime Minister of Crimea  Listed on: 18/03/2014  Last Updated: 20/03/2015  Group ID: 12922.   1. **BASHIROV, Marat, *Faatovich***   DOB: *20.01.1964*  POB: *Izhevsk, Russian Federation*  Position: *Prime Minister of the Council of Ministers of the Lugansk People's Republic*  Other Information: ~~So called Prime Minister of the Council of Ministers of the People's Republic of Luhansk~~  Listed on: 12/07/2014  Last Updated: 20/03/2015  Group ID: 13013.   1. **BASURIN, Eduard, Aleksandrovich**   DOB: *27/06/1966*  POB: *Donetsk* Position: Deputy Commander of the Ministry of 5 ~~Defense~~ *Defence* of the Donetsk People's Republic  Listed on: 16/02/2015  Last Updated: 20/03/2015  Group ID: 13203.   1. **BEREZA, Oleg, *Vladimirovich***   DOB: *01/03/1977*  Position: Internal Affairs Minister *of the Donetsk People's Republic*  Other Information: *Date of birth is approximate.* ~~Internal Affairs Minister of the Donetsk People's Republic.~~  Listed on: 12/09/2014  Last Updated: 20/03/2015  Group ID: 13096.   1. **BEREZIN, Fedor, *Dmitrievich***   DOB: 07/02/1960.  POB: Donetsk a.k.a: *BEREZIN, Fedir Dmitrovych*  Position: ~~Deputy Defence Minister~~  Other Information: *Former Deputy Defence Minister of the Donetsk People's Republic.* ~~The so-called 'Deputy Defence Minister' of the socalled Donetsk People's Republic.~~  Listed on: 25/07/2014  Last Updated: 20/03/2015  Group ID: 13064.   1. **BESEDA, Sergei, Orestovoch**   DOB: *17/05/1954* ~~--/--/1954.~~  Position: Commander of the Fifth Service of the FSB, Federal Security Service of the Russian Federation  Listed on: 25/07/2014  Last Updated: 20/03/2015  Group ID: 13040.   1. **BORODAI, Aleksandr, Yurevich**   DOB: 25/07/1972.  POB: Moscow  Other Information: *Former* *~~So called~~* Prime Minister of *the Donetsk* People's Republic ~~of Donetsk.~~  Listed on: 12/07/2014  Last Updated: 20/03/2015  Group ID: 13009.   1. **BUGROV, Oleg**   DOB: *29/08/1969*  Position: Defense Minister of the ~~Luhansk~~ *Lugansk* People's Republic  Listed on: 02/12/2014  Last Updated: 20/03/2015  Group ID: 13177.   1. **DEYNEGO, Vladyslav, Nykolayevych**   DOB: *12/03/1964* a.k.a: DEYNEGO, Vladislav, Nykolayevich  Position: Deputy Head of the People's Council of the ~~Luhansk~~ *Lugansk* People's Republic  Listed on: 02/12/2014  Last Updated: 20/03/2015  Group ID: 13182.   1. **DREMOV, Pavel**   DOB: ~~--/--/1976~~. *22/11/1976*  POB: Stakhanov a.k.a: *DRYOMOV, Pavlo, Leonidovych*  Position: Commander of the First Cossack Regiment  Other Information: The First Cossack Regiment is an armed separatist group involved in the fighting in eastern Ukraine. *Also known as Batya.*  Listed on: 16/02/2015  Last Updated: 20/03/2015  Group ID: 13199.   1. **GUBAREVA, Ekaterina *Iurievna***   DOB: 05/07/1983.  POB: Kakhovka, *Kherson Oblast* a.k.a: *GUBARIEVA, Katerina, Yuriyovna*  Position: ~~Minister of Foreign Affairs~~  Other Information: *Former Minister of Foreign Affairs* ~~The so-called 'Minister of Foreign Affairs' of the so-called Donetsk People's Republic~~. Her bank account is used to finance illegal ~~armed~~ separatist groups.  Listed on: 25/07/2014  Last Updated: 20/03/2015  Group ID: 13063.   1. **ISMAILOV, Zaur**   DOB: ~~--/--/1975~~. (1) *25/07/1975* (2) *25/07/1978*  POB: Krasny Luch, Voroshilovgrad ~~Luhansk~~ *Lugansk*  Position: Acting General Prosecutor of the ~~Luhansk~~ *Lugansk* People's Republic  Listed on: 16/02/2015  Last Updated: 20/032015  Group ID: 13212.   1. **IVAKIN, *Yuriy, Volodymyrovych* ~~Yurij~~**   DOB: *13/08/1954*  POB: *Perevalsk (Lugansk Oblast)* a.k.a: *IVAKIN, Iurii, Vladimirovich*  Other Information: *Former* ~~So called~~ Minister of Internal Affairs of the *Lugansk* People's Republic ~~of Luhansk~~  Listed on: 12/07/2014  Last Updated: 20/03/2015  Group ID: 130   1. **JAROSH, Petr, Grigorievich**   *DOB: 30/01/1971*  Position: *Acting Head of the Federal Migration Service office for Crimea*  Other Information: ~~Acting Head of the Federal Migration Service office for Crimea~~.  Listed on: 12/05/2014  Last Updated: 20/03/2015  Group ID: 12968.   1. **KAKIDZYANOV, Igor, *Evgenevich***   DOB: (1) *25/07/1980* (2) --/--/1980 a.k.a: *KHAKIMZYANOV Igor, Evegenevich*  Other Information: One of the leaders of the armed forces of the ~~self-proclaimed~~ 'Donetsk People's Republic'. *33 years old on 8.5.2014. Date of birth is approximate.* Listed on: 12/05/2014 Last Updated: 20/03/2015 Group ID: 12972.   1. **KALYUSSKY, Alexandr, Aleksandrovich**   DOB: *09/10/1975*  Position: *De facto Deputy Prime Minister for Social Affairs of the Donetsk*  Other Information: ~~So called De facto Deputy Prime Minister for Social Affairs of Donetsk~~ People's Republic.  Listed on: 12/07/2014  Last Updated: 20/03/2015  Group ID: 13011.   1. **KARAMAN, Aleksandr *Akimovich***   DOB: *26/07/1956* a.k.a: *CARAMAN, Alexandru*  Position: Deputy Prime Minister for Social Issues of the Donetsk People's Republic  Listed on: 12/09/2014  Last Updated: 20/03/2015  Group ID: 13098.   1. **KARYAKIN, Aleksey *Vyacheslavovich***   DOB: (1) 07/04/1980 (2) 07/04/1979 ~~--/--/1979~~.  POB: *Stakhanov, Lugansk Oblast*  Position: *Supreme Council Chair of the Lugansk People's Republic*  Other Information: ~~So called Supreme Council Chair of the People's Republic of Luhansk~~  Listed on: 12/07/2014  Last Updated: 20/03/2015  Group ID: 13015.   1. **KHALIKOV, Ravil, *Zakarievich***   DOB: *23/02/1969*  Position: First Deputy Prime Minister of the Donetsk People's Republic  Other Information: He was previously Prosecutor-General of the Donetsk People's Republic.  Listed on: 02/12/2014  Last Updated: 20/03/2015  Group ID: 13175.   1. **KHODAKOVSKY, Alexander**   DOB: *18/12/1972*  POB: *Donetsk* a.k.a: *(1) KHODAKOVSKIY, Oleksandr, Serhiyovych (2) KHODAKOVSKII, Aleksandr, Sergeevich*  Position: *Minister of Security of the Donetsk People's Republic*  Other Information: ~~So called Minister of Security of People's Republic of Donetsk~~  Listed on: 12/07/2014  Last Updated: 20/03/2015  Group ID: 13010.   1. **KHRYAKOV, Alexander**   DOB: *06/11/1958*  POB: *Donetsk*  Position: Information and Mass Communications Minister of the Donetsk People's Republic. a.k.a: *(1) KHRYAKOV, Aleksandr, Vitalievich (2) KHRYAKOV, Oleksandr, Vitaliyovych*  Other Information: ~~So called Information and Mass Communications Minister of Donetsk People's Republic.~~  Listed on: 12/07/2014  Last Updated: 20/03/2015  Group ID: 13012.   1. **KISELYOV, Dmitry, Konstantinovich**   DOB: 26/04/1954.  POB: *Moscow* a.k.a: *KISELEV, Dmitrii, Konstantinovich*  Position: Head of the Russian Federal State News Agency 'Rossiya Segodnya'.  Listed on: 21/03/2014  Last Updated: 20/03/2015  Group ID: 12944.   1. **KOFMAN, Aleksandr Igorevich**   DOB: *30/08/1977*  POB: Makiivka*, Donetsk Oblast* a.k.a: KOFMAN, Oleksandr  Position: *Foreign Minister and* First Deputy Speaker of the Parliament of the Donetsk People's Republic  Listed on: 02/12/2014  Last Updated: 20/03/2015  Group ID: 13174.   1. **KOSTENOK, Ihor, Vladymyrovych**   DOB: --/--/*1961* a.k.a: KOSTENOK, Igor, Vladimirovich  Position: Minister of Education of the Donetsk People's Republic  Listed on: 02/12/2014  Last Updated: 20/03/2015  Group ID: 13180.   1. **LITVIN, Sergey *Anatolievich***   DOB: *02/07/1973* Position: Deputy Chairman of the Council of Ministers of the ~~Luhansk~~ *Lugansk* People's Republic  Listed on: 16/02/2015  Last Updated: 20/03/2015  Group ID: 13205.   1. **LITVINOV, Boris, *Alekseevich***   DOB: *13/01/1954*  POB: *Dzerzhynsk, Donetsk Oblast*  Position: *Member of the People's Council*  Other Information: *Former Chairman of the Supreme Council of the Donetsk People's Republic.* ~~Chairman of the 'Supreme Council' of the Donetsk People's Republic.~~  Listed on: 31/07/2014  Last Updated: 20/03/2015  Group ID: 13070.   1. **MALYKHIN, Aleksandr, *Sergeevich***   DOB: *12/01/1981* a.k.a: *MALYHIN, Alexander, Sergeevich*  Position: *Head of the Lugansk People's Republic Central Electoral Commission* Other Information: H~~ead of the 'Lugansk People's Republic' Central Electoral Commission.~~  Listed on: 12/05/2014  Last Updated: 20/03/2015  Group ID: 12976.   1. **MANUILOV, Evgeny, Vladimirovich**   DOB: *05/01/1967*  Position: Minister of Budget of the Luhansk Lugansk People's Republic  Listed on: 16/02/2015  Last Updated: 20/03/2015  Group ID: 13209.   1. **MOZGOVY, Oleksiy *Borisovych***   DOB: *03/04/1975* a.k.a: *MOZGOVOI, Aleksei, Borisovich*  Other Information: A leader of armed groups in Eastern Ukraine.  Listed on: 12/07/2014  Last Updated: 20/03/2015  Group ID: 13019.   1. **MURADOV, Georgiy, L'vovich**   DOB: 19/11/1954.  POB: *Komi Republic*  Position: Deputy Prime Minister of Crimea.  Other Information: He is plenipotentiary representative of Crimea to President Putin.  Listed on: 12/09/2014  Last Updated: 12/09/2014  Group ID: 13099.   1. **NIKITIN, Vasyl**   DOB: *25/11/1971*  POB: *Shargun, Uzbekistan* a.k.a: *NIKITIN, Vasilii, Aleksandrovich*  Position: *Vice Prime Minister of the Council of Ministers of the Lugansk People's Republic.*  Other Information: *Formerly the Prime Minister of the Lugansk People's Republic, and former spokesman of the Army of the Southeast.* ~~So called Vice Prime Minister of the Council of Ministers of the People's Republic of Luhansk. Formerly so called Prime Minister of the People's Republic of Luhansk.~~  Listed on: 12/07/2014  Last Updated: 20/03/2015  Group ID: 13014.   1. **PINCHUK, Andrey, Yurevich**   DOB: *27/12/1977*  Position: ~~State Security Minister of the Donetsk People's Republic~~  Other Information: *Former State Security Minister of the Donetsk People's Republic. Date of birth is approximate.*  Listed on: 12/09/2014  Last Updated: 20/03/2015  Group ID: 13095.   1. **PLOTNITSKY, Igor**   DOB: (1) *24/06/1964* (2) *25/06/1964*  POB: *Lugansk, possibly in Kelmentsi, Chernivtsi Oblast* a.k.a: *PLOTNITSKII, Igor, Venediktovich*  Other Information: *Former* ~~So called~~ Defence Minister of the *Lugansk* People's Republic ~~of Luhansk~~  Listed on: 12/07/2014  Last Updated: 20/03/2015  Group ID: 13017.   1. **PONOMARIOV, Viacheslav**   DOB: 02/05/1965.  POB: Slovinsk, **Donetsk Oblast** a.k.a: (1) ~~PONOMARIOV, Vyacheslav, Vladimirovich~~ (1) *PONOMARYOV, Vyacheslav, Volodymyrovich*, (2) *PONOMAREV, Viacheslav, Vladimirovich*  Other Information: *Former* self-declared Mayor of Sloviansk  Listed on: 12/05/2014  Last Updated: 20/03/2015  Group ID: 12970.   1. **PURGIN, Andriy, *Yevgenovych* ~~Yevgenevich~~**   DOB: 26/01/1972.  POB: *Donetsk* a.k.a: *PURGIN, Andrei, Evgenevich*  Position: *Chairman of the People's Council of the Donetsk People's Republic.*  Other Information: ~~Head of the 'Donetsk Republic'~~. *Former Head of the Donetsk* *People's Republic. Co-founder of a ‘Civic Initiative of Donbass for the Eurasian Union’.*  Listed on: 29/04/2014  Last Updated: 20/03/2015  Group ID: 12961.   1. **PUSHYLIN, Denys *Volodymyrovych***   DOB: *(1) 09/05/1981 (2) 09/05/1982*  POB: Makiivka, *Donetsk Oblast* a.k.a: *PUSHILIN Denis, Vladimirovich*  Position: *Deputy Chairman of the People's Council of the Donetsk People's Republic.*  Other Information: One of the leaders of the Donetsk People’s Republic.  Listed on: 29/04/2014  Last Updated: 20/03/2015  Group ID: 12962.   1. **RODKIN, Andrei, Nikolaevich**   DOB: *23/09/1976*  Position: Moscow Representative of the Donetsk People's Republic.  Listed on: 12/09/2014  Last Updated: 20/03/2015  Group ID: 13097.   1. **ROTENBERG, Arkady, Romanovich**   DOB: 15/12/1951.  POB: Leningrad (St Petersburg) a.k.a: *ROTENBERG Arkadii Romanovich*  Other Information: *He is the owner of the Stroygazmontazh company and the chairman of the board of directors of publishing house Prosvescheniye.* ~~A major shareholder of Giprotransmost.~~  Listed on: 31/07/2014  Last Updated: 20/03/2015  Group ID: 13072.   1. **RUDENKO, Miroslav, Vladimirovich**   DOB: 21/01/1983.  POB: Debalcevo  Position: *People's Deputy in the Parliament of the Donetsk People's Republic.* ~~Commander of the Donbass People's Militia~~  Listed on: 12/09/2014  Last Updated: 20/03/2015  Group ID: 13093.   1. **SEMYONOV, Dmitry *Aleksandrovich***   DOB: *03/02/1963*  POB: *Moscow* a.k.a: *SEMENOV, Dmitrii, Aleksandrovich*  Position: Deputy Prime Minster for Finances of the ~~Luhansk~~ *Lugansk* People's Republic  Listed on: 02/12/2014  Last Updated: 20/03/2015  Group ID: 13176.   1. **SERGUN, Igor Dmitrievich**   DOB: 28/03/1957.  POB: *Podolsk, Moscow Oblast*  Title: *Lieutenant General*  Position: *Director of GRU (Main Intelligence Directorate), Deputy Chief of the General Staff of the Armed Forces of the Russian Federation* ~~Lieutenant General~~  Other Information: ~~Director of GRU (Main Intelligence Directorate), Deputy Chief of the General Staff of the Armed Forces of the Russian Federation~~  Listed on: 29/04/2014  Last Updated: 20/03/2015  Group ID: 12957.   1. **SHAMALOV, Nikolay, Terentievich**   DOB: 24/01/1950.  POB: *Belarus*  Other Information: Co-founder of Ozero Dacha, a co-operative society. He is the second largest shareholder of Bank Rossiya.  Listed on: 31/07/2014  Last Updated: 20/03/2015  Group ID: 13074.   1. **SIVOKONENKO, Yuriy, *Viktorovich***   DOB: *07/08/1957* a.k.a: (1) SIVOKONENKO, Yury (2) SYVOKONENKO, Yury *(3) SIVOKONENKO, Yuriy*  Position: Member of the Parliament of the Donetsk People's Republic  Other Information: Also works in the Union of veterans of the Donbass Berkut.  Listed on: 02/12/2014  Last Updated: 20/03/2015  Group ID: 13173.   1. **TCHIGRINA, Oksana**   DOB: (1) *23/07/1981* (2) *--/--/1980* a.k.a: *CHIGRINA, Oksana, Aleksandrovna* Position: *Spokesperson of the government of the Lugansk People's Republic.*  Other Information: ~~Spokesperson of the government of the Lugansk People's Republic.~~ *Date of birth is approximate. 33 years old on 01.08.2014.*  Listed on: 31/07/2014  Last Updated: 20/03/2015  Group ID: 13069.   1. **TOLSTYKH, Mikhail Sergeevich**   DOB: ~~--/--/1980~~ *19/07/1980*  POB: Ilovaisk Position: Commander of the ‘Somali’ battalion  Other Information: The ‘Somali’ battalion is an armed separatist group involved in the fighting in eastern Ukraine. *Also known as Givi.*  Listed on: 16/02/2015  Last Updated: 16/02/2015  Group ID: 13202   1. **TSARIOV, Oleg, ~~Anatolevich~~**   DOB: 02/06/1970.  POB: Dnipropetrovsk a.k.a: (1) *TSAROV, Oleh, Anatoliyovych* (2) *TSAREV, Oleg, Anatolevich*  Other Information: Former member of the Rada.  Listed on: 12/05/2014  Last Updated: 20/03/2015  Group ID: 12973.   1. **TSYPKALOV, Gennadiy, Nikolaiovych**   DOB: 21/06/1973.  POB: *Rostov Oblast, Russia*  Position: Prime Minister *of the Lugansk People’s Republic*  Other Information: *Previously active in the militia Army of the Southeast.* ~~Replaced Marat Bashirov as Prime Minister of the Lugansk People's Republic~~  Listed on: 12/09/2014  Last Updated: 20/03/2015  Group ID: 13094.   1. **ZAKHARCHENKO, Alexander *Vladimirovich***   DOB: *26/06/1976* ~~--/--/1976.~~  POB: Donetsk Position: Prime Minister *of the Donetsk People's Republic*  Other Information: As of 7 August he replaced Alexander Borodai as Prime Minister of the Donetsk People's Republic.  Listed on: 12/09/2014  Last Updated: 20/03/2015  Group ID: 13091.  **Financial Services Commission**  **20/03/2015** |