** FINANCIAL SERVICES COMMISSION**



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| |  | | --- | | 10 December 2014 |   **Terrorism and Terrorist Financing**  **Introduction**   1. A designation has been renewed under the Terrorist Asset-Freezing etc. Act 2010.   **The reason for this Notice**   1. The Treasury has renewed the final designations in respect of the Popular Front for the Liberation of Palestine - General Command (PFLP-GC) and the Popular Front for the Liberation of Palestine (PFLP) with effect from 10 December 2014. 2. This means that the Popular Front for the Liberation of Palestine - General Command (PFLP-GC) and the Popular Front for the Liberation of Palestine (PFLP) are therefore Designated Persons for the purposes of the Terrorist Asset Freezing etc Act 2010 (“the Act”) and continues to be subject to the financial sanctions imposed by the Act.   **What you must do:**   1. You must 2. Check whether you hold any accounts, funds or economic resources for, or provide financial services to, the person set out in the Annex to this notice. 3. Freeze such accounts or other funds. 4. Suspend the provision of any financial services to that person. 5. Refrain from dealing with such funds or making them available to such person -unless licensed by the Treasury. 6. Report any findings to the Treasury, together with any additional information that would facilitate compliance with the Act. 7. Provide any information concerning the frozen assets of designated persons that the Treasury may request. Information reported to the Treasury may be passed on to other regulatory authorities or law enforcement. 8. If you have already reported details of accounts held frozen for designated person, you are not required to report these details again. 9. Failure to comply with financial sanctions legislation is a criminal offence. 10. Some dealings with funds and economic resources that would otherwise contravene the Act, can be licensed by HM Treasury. You can find out about applying for a licence, as well as information about General Licences, in our FAQs:   <https://www.gov.uk/government/publications/financial-sanctions-faqs>  **Can I challenge a Designation?**   1. If you are the designated person, you may appeal to the High Court or, in Scotland, the Court of Session, under section 26 of the Act, any decision of the Treasury to make or vary, or not to vary or revoke, an interim or final designation, or to renew a final designation. 2. If you are the designated person or a person affected by a decision of the Treasury (other than decisions to which section 26 applies) you may apply to the High Court or, in Scotland, the Court of Session, for the decision to be set aside. 3. Please see the FAQs for more information:   <https://www.gov.uk/government/publications/financial-sanctions-faqs>   1. The address for service of legal correspondence for the Treasury is:   The Treasury Solicitor’s Department, One Kemble Street, London, WC2B 4TS Phone: 0207210 3000. DX number: 123242 Kingsway.  **Other information**   1. The Terrorist Asset-Freezing etc. Act 2010 implements the terrorist asset freezing requirements of UN Security Council Resolution 1373 (2001) and Council Regulation (EU)No 2580/2001 in the UK. 2. The Treasury’s Consolidated List of persons subject to financial sanctions in effect in the UK, which is maintained on the Gov.uk website, has been updated to reflect the renewal of the designations. 3. A copy of the Act is available from legislation.gov.uk at:   <http://www.legislation.gov.uk/ukpga/2010/38/contents>   1. Copies of relevant Releases, UN Security Council Resolutions, EU Regulations and UK legislation can be obtained from the Terrorism and Terrorist Financing regime page on the Financial Sanctions pages of the Gov.uk website:   <https://www.gov.uk/government/publications/current-list-of-designated-personsterrorism-and-terrorist-financing>  **Enquiries/Contact Details**   1. Non-media enquiries should be addressed to:   The Commissioner  Financial Services Commission  Brades  Montserrat    **Financial Services Commission**  **10/12/2014**  **ANNEX TO GENERAL NOTICE**  **FINANCIAL SANCTIONS: TERRORISM AND TERRORIST FINANCING**  **DESIGNATION MADE UNDER SECTION 2 OF THE TERRORIST ASSET-FREEZING ETC. ACT 2010**  **RENEWAL OF FINAL DESIGNATION**  Date of Renewal of Final Designation  10/12/2014  **Entities**   * 1. **POPULAR FRONT FOR THE LIBERATION OF PALESTINE - GENERAL** COMMAND a.k.a: PFLP - General Command   Other Information: Both UK listing and EU listing.  Group ID: 7399.   * 1. **POPULAR FRONT FOR THE LIBERATION OF PALESTINE (PFLP)** Other Information: Both UK listing and EU listing.   Group ID: 7401.  **Financial Services Commission**  **10/12/2014** |



**FINANCIAL SERVICES COMMISSION**



10 December 2014

**Democratic Republic of the Congo**

**Introduction**

1. Council Regulation (EC) 1183/2005 (“the Regulation”) imposing financial sanctions against the Democratic Republic of the Congo has been amended so that an asset freeze now applies to the additional entity listed in the Annex to this Notice.
2. Identifying information for the individuals and entities listed in the Annex to this Notice has also been amended.

**Notice summary (Full details are provided in the Annex to this Notice)**

1. The following entry has been added to the consolidated list and is now subject to an asset freeze.

* ADF (Group ID: 13189)

1. The following entry has been removed from the consolidated list.

* GREAT LAKES BUSINESS COMPANY (GLBC) (Group ID 9070)

Note that this entity has been merged with COMPAGNIE AERIENNE DES GRANDS LACS (CAGL) (Group ID 9071) – see the amended entry for CAGL in the annex.

1. Twenty-three individuals and five entities have had their identifying information amended and are still subject to an asset freeze:

**What you must do**

1. You must:
2. check whether you maintain any accounts or hold any funds or economic resources for the persons set out in the Annex to this Notice as subject to an asset freeze;
3. freeze such accounts, and other funds or assets;
4. refrain from dealing with the funds or assets or making them available to such persons unless licensed by the Treasury;
5. report any findings to the Treasury, together with any additional information that would facilitate compliance with the Regulation;
6. provide any information concerning the frozen assets of designated persons that the Treasury may request. Information reported to the Treasury may be passed on to other regulatory authorities or law enforcement;
7. Where a relevant institution has already reported details of accounts, other funds or economic resources held frozen for designated persons, they are not required to report these details again.
8. Failure to comply with financial sanctions legislation or to seek to circumvent its

provisions is a criminal offence.

**Legislative details**

1. On 2 December 2014 Council Implementing Regulation (EU) No 1275/2014 (“the

Amending Regulation”) was published in the Official Journal of the European Union (O.J. L 346, 02.12.2014, p.3) by the Council of the European Union.

1. The Amending Regulation amended Annex I to the Regulation with effect from 2

December 2014.

1. The Amending Regulation reflects the decision made on 30 June 2014 by the United Nations Security Council (UNSC) Committee established pursuant to Resolution 1533 (2004) to add one entity to the list of individuals and entities subject to restrictive measures, and to issue a new consolidated list of individuals and entities subject tto restrictiver measures.

**Further Information**

1. A copy of the Amending Regulation can be obtained from the website of the Official Journal of the European Union:

<http://eur-lex.europa.eu/legal-content/EN/TXT/PDF/?uri=CELEX:32014R1275&from=EN>

1. Copies of relevant Releases, certain EU Regulations, UNSC Resolutions and UK legislation can be obtained from the Democratic Republic of the Congo Financial Sanctions page accessible via the GOV.UK website:

<https://www.gov.uk/government/collections/financial-sanctions-regime-specificconsolidated-lists-and-releases>

1. Further details on the UN measures in respect of the Democratic Republic of the Congo can be found on the relevant UN Sanctions Committee webpage:

<http://www.un.org/sc/committees>.

1. Please note there are also import and export restrictions on Democratic Republic of the Congo that can also involve restrictions on insurance, reinsurance, etc. Further guidance on export and trade sanctions is available from the GOV.UK website:

<https://www.gov.uk/sanctions-embargoes-and-restrictions>

1. It should be noted that the Annex to this Notice and the Consolidated List include certain background information provided by the UN Sanctions Committee that is not included in Annex I to the Regulation.
2. Please see the FAQs for more information around financial sanctions:

<https://www.gov.uk/government/publications/financial-sanctions-faqs>

**Enquiries/Contact Details**

1. Non-media enquiries should be addressed to:

The Commissioner

Financial Services Commission

Brades

Montserrat

**Financial Services Commission**

**10/12/2014**

**ANNEX TO NOTICE**

**FINANCIAL SANCTIONS: DEMOCRATIC REPUBLIC OF THE CONGO**

**COUNCIL IMPLEMENTING REGULATION (EU) No 1275/2014**

**AMENDING ANNEX I TO COUNCIL REGULATION (EC) No 1183/2005**

**REGIME: Democratic Republic of the Congo**

**ADDITIONS**

**Entity**

1. **ADF**

a.k.a: (1) ADF/NALU

(2) Forces Democratiques Alliees-Armee Nationale de Liberation de l'Ouganda

(3) Islamic Alliance of Democratic Forces

Address: North Kivu Province, Democratic Republic of the Congo.

Other Information: Created in 1995 and is located in the mountainous DRC-Uganda border area. The ADF's military commander is Hood LUKWAGO and its supreme leader is the sanctioned individual Jamil MUKULU.

Group ID: 13189.

**DELISTING**

**Entity**

1. **GREAT LAKES BUSINESS COMPANY (GLBC**)

Address: (1) Gisenyi, Rwanda.

(2) PO Box 315, Goma, Democratic Republic of the Congo.

Other Information: Owned by Douglas Mpamo. As of Dec 2008, GLBC no longer had any operational aircraft, although several aircraft continued flying in 2008 despite UN sanctions.

Group ID: 9071.

Note this entity has been merged with COMPAGNIE AERIENNE DES GRANDS LACS (CAGL) – see amended entry below

**AMENDMENTS**

**Additions are shown in italics and underlined.**

**Deleted text is shown in strikethrough.**

**INDIVIDUALS**

1. **BADEGE, Eric**

Title: *LT. Colonel*

DOB: --/--/1971.

Group ID: 12838.

1. **IYAMUREMYE, Gaston**

Title: Brigadier General

DOB: --/--/1948.

POB: ~~(1) Musanze District (Northern Province)~~

(1) Musanze District, Northern Province, Rwanda

~~(2) Ruhengeri, (1) Rwanda~~

(2) Ruhengeri, Rwanda

a.k.a: (1) BYIRINGIRO, Michel

(2) RUMULI, Byiringiro, Victor

(3) RUMURI, Victor

Nationality: Rwandan

Address: Kalonge, North Kivu Province (as of June 2011).

Position: FDLR President and 2nd Vice-President of FDLR-FOCA

Other Information: Also referred to as Rumuli. Core member of the FDLR military and political leadership. Ran Ignace Murwanashyaka’s (President of the FDLR) office in Kibua, DRC until Dec 2009.

Listed on: 03/12/2010 Last Updated: 02/11/2011

Group ID: 11276.

1. **KAINA, Innocent**

Title: Colonel

a.k.a: *India Queen*

POB: Bunagana, Rutshuru territory, Democratic Republic of the Congo

Position: Sector commander in the Mouvement du 23 Mars (M23)

Other Information: Male. ~~Also referred to as "India Queen".~~

Group ID: 12830.

1. **KAKWAVU BUKANDE, JEROME**

Title: General

POB: Goma

a.k.a: (1) KAKWAVU, Jerome

(2) *Commandant Jerome*

Nationality: Congolese

Other Information: Former President of UCD/FAPC. ~~Also referred to as Commandant Jerome.~~ Detained in Makala Prison in Kinshasa (as at June 2011).

Group ID: 8707.

1. **KATANGA, Germain**

Nationality: Congolese

Position: FRPI chief

Other Information: Appointed General in the FARDC in Dec 2004. Handed over by the Government of the DRC to the International Criminal Court on 18 Oct 2007. *His trial began in November 2009.*

Group ID: 8735.

1. **LUBANGA, Thomas**

POB: Ituri, DRC

Nationality: Congolese

Position: President of the UPC/L

Other Information: Transferred to the ICC by the Congolese authorities on 17 Mar 2006.

*Convicted by the ICC in March 2012 and sentenced to 14 years in prison.*

Group ID: 8738.

1. **MAKENGA, Sultani**

Title: Colonel

DOB: 25/12/1973.

POB: Rutshuru, Democratic Republic of the Congo

a.k.a: (1) MAKENGA, Emmanuel, Sultani

(2) MAKENGA, Colonel Sultani

Nationality: Congolese

Position: Military leader of the Mouvement du 23 Mars (M23) group operating in the Democratic Republic of the Congo

Other Information: Male.

Group ID: 12812.

1. **MANDRO, Khawa, Panga**

DOB: 20/08/1973.

POB: Bunia, Democratic Republic of the Congo

a.k.a: (1) KAHWA, Mandro, Panga

(2) KARIM, Yves, Andoul

(3) MANDRO, Kawa

(4) MANDRO, Kawa, Panga

(5) MANDRO, Yves, Khawa, Panga

(6) PANGA, Kawa

Nationality: Congolese

Other Information: Ex-President of PUSIC, *an armed group and militia*. Also referred to as Chief Kahwa and Kawa. Detained at Makala Central Prison, Kinshasa (as of June 2011).

Group ID: 8708.

1. **MPAMO, Iruta, Douglas**

DOB: (1) 28/12/1965.

(2) 29/12/1965.

POB: (1) Bashali, Masisi, *Democratic Republic of the Congo*

(2) Goma *Democratic Republic of the Congo*

(3) Uvira, *Democratic Republic of the Congo*

a.k.a: (1) MPAMO, Douglas, Iruta

Nationality: Congolese

Address: Gisenyi, Rwanda (as of June 2011).

Position: Owner/Manager of the Compagnie Aerienne des Grands Lacs and of Great Lakes

Business Company (GLBC).

Other Information: Also referred to as Mpano. *No known occupation since two of the planes managed by GLBC crashed.*

Group ID: 8711.

1. **MUDACUMURA, Sylvestre**

a.k.a: (1) BERNARD, Mupenzi

(2) *General Major Mupenzi*

(3) *General Mudacumura*

Nationality: Rwandan

Address: Kikoma forest, near Bogoyi, Walikale, North Kivu, *DRC* (as of June 2011).

Position: FDLR-FOCA military commander, also political 1st Vice-President and head of FOCA

High Command

Other Information: Also referred to as Radja., ~~General Major Mupenzi and General Mudacumura.~~

Group ID: 8714.

1. **MUGARAGU, Leodomir**

DOB: (1) --/--/1953.

(2) --/--/1954.

POB: (1) Kigali, Rwanda

(2) Rushashi (Northern Province), Rwanda

a.k.a: (1) LEON, Manzi

(2) MANZI, Leo

Nationality: Rwandan

Address: *FDLR HQ*, Kikoma forest, Bogoyi, Walikale, North Kivu (as of June 2011).

Position: FDLR-FOCA Chief of Staff

Group ID: 11279.

1. **MUJYAMBERE, Leopold**

DOB: (1) --/--/1966.

(2) 17/03/1962.

POB: Kigali, Rwanda

a.k.a: IBRAHIM, Frere, Petrus

Nationality: Rwandan

Address: Nyakaleke (south-east of Mwenga), South Kivu, *DRC*.

Position~~: Commander of the Second Division of FOCA /the Reserve Brigades.~~ Commander of South Kivu operational sector now called 'Amazon' of FDLR-FOCA (as of June 2011)

Other Information: Also referred to as Musenyeri and Achille.

Group ID: 10679.

1. **MURWANASHYAKA, Ignace**

Title: Dr

DOB: 14/05/1963.

POB: (1) Ngoma, Butare, Rwanda

(2) Butera, Rwanda

Nationality: Rwandan

Position: President of the FDLR and supreme commander of the FDLR armed forces

Other Information: *Arrested by German authorities on 17 November 2009.*

*Murwanashyaka's trial for war crimes and crimes against humanity committed by FDLR*

*troops in DRC in 2008 and 2009 began on 4 May 2011 in a German court. Replaced by Gaston IYAMUREMYE, alias “Rumuli”, as president of FDLR-FOCA*. ~~Also referred to as Ignace. Arrested by German authorities on 17 Nov 2009.~~

Group ID: 8713.

1. **MUSONI, Straton**

DOB: (1) 06/04/1961.

(2) 04/06/1961.

POB: Mugambazi, Kigali, Rwanda

a.k.a: MUSONI, IO

Nationality: Rwandan

Other Information: ~~Also referred to as I.O. Musoni.~~ Arrested by German authorities on 17 Nov 2009. *Musoni's trial for war crimes and crimes against humanity began on 4 May 2011 in a German court. Replaced as 1st Vice-President of the FDLR by Sylvestre MUDACUMURA.*

Group ID: 9065.

1. **MUTEBUTSI, Jules**

DOB: --/--/1964.

POB: Minembwe, South Kivu, Democratic Republic of the Congo

a.k.a: (1) MUTEBUSI, Jules

(2) MUTEBUZI, Jules

(3) *Colonel MUTEBUTSI*

Nationality: Congolese

Other Information: Former FARDC Deputy Military Regional Commander of 10th Military Region. ~~Also referred to as Colonel Mutebutsi.~~ Arrested by the Rwandan authorities in Dec 2007. Lives in semi-liberty in *Kigali* ~~Kigala~~ (not authorised to leave the country).

Group ID: 8709.

1. **NGARUYE WA MYAMURO, Baudoin**

Title: ~~Colonel~~ *Brigadier General*

DOB: (1) *01/04/1978*

(2) --/--/1978.

POB: (1) *Bibwe, DRC*

(2) Lusamambo, Lubero territory, Democratic Republic of the Congo

a.k.a: NGARUYE, Colonel Baudoin

Nationality: Congolese

National Identification no: FARDC ID: 1-78-09-44621-80

Address: *Rubavu/Mudende, Rwanda.*

Position: Military Leader of the Mouvement du 23 Mars (M23)

Other Information: Male. *Entered the Republic of Rwanda on 16.03.2013 at Gasizi/Rubavu.*

Group ID: 12828.

1. **NGUDJOLO, Mathieu, Chui**

a.k.a: NGUDJOLO, Cui

Position: *Chief of Staff* ~~FNI Chief of Staff~~

Other Information: *Former Chief of Staff of the FRPI.* Arrested by MONUC in Bunia in Oct 2003. Surrendered by the Government of the DRC to the International Criminal Court on 7 Feb 2008. *After being released from custody, he was detained by Dutch authorities, and has filed a claim for asylum in the Netherlands.*

Group ID: 8734.

1. **NJABU, Floribert, Ngabu**

a.k.a: (1) NDJABU, Floribert

(2) NDJABU, Floribert, Ngabu

(3) ~~NJABU~~ *NGABU*, Floribert *Njabu*

Position: President of FNI

Other Information: Under house arrest in Kinshasa since Mar 2005. Transferred to The Hague on 27 March 2011 to testify in ICC trials. *Applied for asylum in the Netherlands in May 2011. In October 2012, a Dutch court denied his asylum claim. The case is currently on appeal.*

Group ID: 8737.

1. **NSANZUBUKIRE, Felicien**

DOB: --/--/1967.

POB: (1) Murama, ~~Kinyinya, Rubungo,~~ Kigali, Rwanda

(2) *Rubungo, Kigali, Rwanda*

(3) *Kinyinya, Kigali, Rwanda*

a.k.a: IRAKEZA, Fred

Nationality: Rwandan

Address: Magunda, Mwenga territory, South Kivu (as of June 2011).

Position: 1st battalion leader of the FDLR-FOCA based in the Uvira-Sange area of South Kivu

Other Information: Member of the FDLR since at least 1994 and operating in eastern DRC since Oct 1998.

Group ID: 11277.

1. **OZIA MAZIO, Dieudonne**

DOB: 06/06/1949.

POB: Ariwara, DRC

Nationality: Congolese

Position: President of *Fédération des entreprises congolaises (FEC)* ~~FEC~~ in Aru territory

Other Information: Also referred to as Ozia Mazio, Omari and Mr Omari. *Involved in financial schemes with Jerome KAKWAVU and FAPC and smuggling across the DRC/Uganda border.*

Believed to have died in Ariwara on 23 Sept 2008.

Group ID: 8705.

1. **RUNIGA, Jean-Marie, Lugerero**

DOB: (1) -/--/1960.

(2) 09/09/1966

POB: *Bukavu, DRC*

a.k.a: RUGERERO, Jean-Marie

Address: *Rubavu/Mudende, Rwanda*

Position: *President of the M23*

Other Information: DOB is approximate.

Group ID: 12839.

1. **TAGANDA, Bosco**

DOB: (1) --/--/1973.

(2) --/--/1974.

POB: Bigogwe, Rwanda

a.k.a: (1) NTAGANDA, Bosco

(2) NTAGENDA, Bosco

(3) *General TAGANDA*

Nationality: Congolese

Address: Goma (as of June 2011).

Position: UPC/L military commander

Other Information: Also referred to as ~~General Taganda,~~ Lydia, Terminator and Major.

*Formerly Chief of Staff in CNDP and became CNDP military commander since the arrest of Laurent NKUNDA in January 2009. He surrendered to ICC officials in Kigali on March 22 and was transferred to The Hague.*

Group ID: 8736.

1. **ZIMURINDA, Innocent**

Title: Lieutenant-Colonel

DOB: (1) 01/09/1972.

(2) *16/03/1972.*

(2) --/--/1975.

POB: (1) Ngungu, Masisi Territory, North Kivu Province, Democratic Republic of the Congo

(2) *Masisi, Democratic Republic of the Congo*

Position: *Colonel in the FARDC.*

a.k.a: ZIMULINDA, Innocent

Nationality: *Congolese*

Address: Masisi Territory, North Kivu Province, Democratic Republic of the Congo.

Other Information: ~~Colonel in the FARDC.~~

Group ID: 11278.

**ENTITIES**

1. **COMPAGNIE AERIENNE DES GRANDS LACS (CAGL)**

a.k.a: *GREAT LAKES BUSINESS COMPANY (GLBC)*

Address: (1) Gisenyi, Rwanda.

(2) Avenue President Mobutu, Goma, Democratic Republic of the Congo.

(3) *PO BOX 315, Goma, DRC.*

Other Information: *CAGL and GLBC are* owned by Douglas Mpamo. *As of December 2008, GLBC no longer had any operational aircraft, although several aircraft continued flying in 2008 despite UN sanctions.*

Group ID: 9070.

1. **FORCES DEMOCRATIQUES DE LIBERATION DU RWANDA (FDLR)**

a.k.a: (1) COMBATANT FORCE FOR THE LIBERATION OF RWANDA

(2) FDLR

(3) FOCA

(4) FORCE COMBATTANTE ABACUNGUZI

Address: North and South Kivu, Democratic Republic of the Congo.

Other Information: Email addresses Fdlr@fmx.de, fldrrse@yahoo.fr, fdlr@gmx.net

Group ID: 12840.

1. **M23**

a.k.a: MOUVEMENT DU 23 MARS

Other Information: An armed group operating in the DRC.

Group ID: 12841.

1. **TOUS POUR LA PAIX ET LE DEVELOPPEMENT (TPD)**

Address: Goma, North Kivu, Democratic Republic of the Congo.

Other Information: *Based in Goma, with provincial committees in South Kivu, Kasai Occidental, Kasai Oriental and Maniema. The TPD President is Eugene Serufuli and VicePresident is Saverina Karomba. Important members include North Kivu provincial deputies Robert Seninga and Bertin Kirivita.* Officially suspended all activities since 2008. In practice, all TPD offices are open (as of June 2011).

Group ID: 8744.

1. **UGANDA COMMERCIAL IMPEX (UCI) LTD**

Address: (1) Kajoka St, Kisemente, Kampala, Uganda.

(2) PO Box 22709, Kampala, Uganda.

Other Information: Gold export company. Former directors are J V Lodhia, known as Chuni, and his son Kunal Lodhia. *Tel. +256 41 533 578/9.*

Group ID: 9066.

**Financial Services Commission**

**10/12/2014**